

PCT

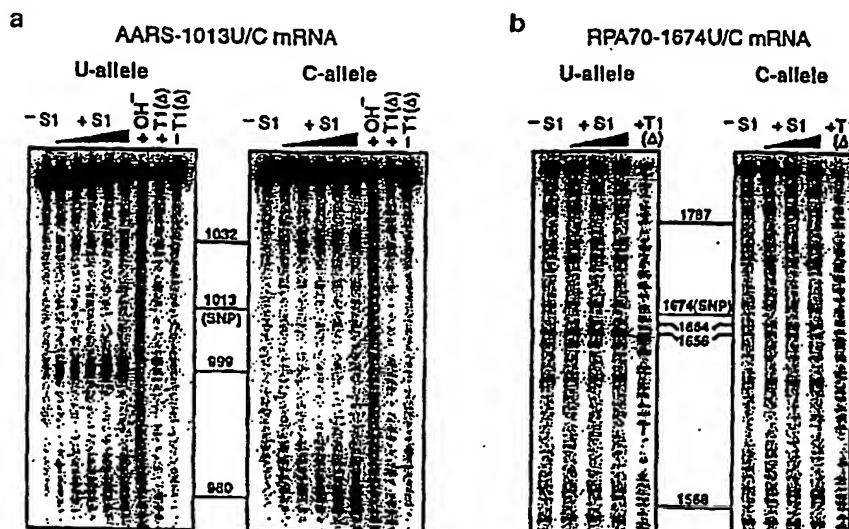
WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 7 : <b>C12Q 1/68, A61K 31/00, A61P 43/00</b>		A2	(11) International Publication Number: <b>WO 00/52210</b>
			(43) International Publication Date: 8 September 2000 (08.09.00)
(21) International Application Number: <b>PCT/US00/05271</b>		(81) Designated States: AU, CA, JP, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).	
(22) International Filing Date: 29 February 2000 (29.02.00)			
(30) Priority Data: 60/122,199 1 March 1999 (01.03.99) US		Published Without international search report and to be republished upon receipt of that report.	
(71) Applicant: VARIAGENICS, INC. [US/US]; 60 Hampshire Street, Cambridge, MA 02139 (US).			
(72) Inventors: STANTON, Vincent, P., Jr.; 32 Royal Road, Belmont, MA 02178 (US). BASILION, James, P.; 27 Stetson Street #1, Brookline, MA 02446 (US). SHEN, Ling; Apartment 8, 2 Royal Crest Drive, Marlboro, MA 01752 (US). VERDINE, Gregory; 7 Bennington Road, Lexington, MA 02421 (US).			
(74) Agents: WARBURG, Richard, J. et al.; Suite 1200, 550 West C Street, San Diego, CA 92101 (US).			

(54) Title: METHODS FOR TARGETING RNA MOLECULES



(57) Abstract

Described are methods for identifying targets in RNA molecules based on differences in RNA secondary structure related to sequence variances between different allelic forms. Also described are methods for identifying or developing small molecules which can be used to modulate a target RNA by creation of protein-small-molecule-RNA complexes, as well as compositions which include such small molecules and methods of using those small molecules and compositions.

BEST AVAILABLE COPY

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon			PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakhstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

DESCRIPTION

## METHODS FOR TARGETING RNA MOLECULES

5

RELATED APPLICATION

This application claims the benefit of Shen et al., U.S. Provisional Application No. 60/122,199, filed March 1, 1999, entitled METHODS FOR TARGETING RNA MOLECULES, which is hereby incorporated by reference in its entirety, including drawings.

10

BACKGROUND

This application relates to the field of identification of compounds active on RNA and of target sites on the RNA.

The wealth of information gained from the study of the human genome has allowed identification of many genes whose inappropriate expression results in disease. To exploit this information, several technologies have been developed to specifically target gene expression. Modulating the expression of such genes allows treatment of the disease. In particular, the strategy of modulating gene expression by targeting RNA has spawned several new classes of rationally designed therapeutics (e.g., ribozymes, DNAzymes, and antisense oligonucleotides). However, to date, these technologies have had very limited clinical success. One of the reasons for the limited success is due to the difficult pharmacological barriers these macromolecules must overcome.

The pharmacological treatment of disease is one of the signal advances in medicine in the twentieth century. Modern methods of small molecule development have also proven highly useful in allied fields such as veterinary medicine and pest eradication in agriculture. An ever increasing number of diseases can be ameliorated by appropriate drug therapy, reflecting increasing knowledge of disease pathophysiology and improved methods for conducting clinical research. As the sequence of the human (and other) genomes is solved and the molecular understanding of disease advances it will increasingly be possible to select optimal targets for therapeutic attack. Thus while many current drug treatments act by poorly understood mechanisms, and/or provide only symptomatic relief,

increasingly it should be possible to reverse disease pathophysiology and provide more specific and potent therapeutic relief. To achieve this goal, however, will require improved methods of drug development. In particular, the development of drug targeting strategies that enable highly specific targeting of selected genes or gene products will be of great use.

The information provided and references cited herein are provided solely to assist the understanding of the reader, and is not admitted to be prior art to the present invention.

## SUMMARY OF THE INVENTION

The present invention provides certain advantageous methods for developing biologically active molecules. The invention includes a new method for targeting RNA with small molecules, where the small molecule makes contact with both a cellular protein and a cellular RNA. The effect of the tripartite complex is to increase the affinity of the complex beyond what could be achieved alone with the small molecule and the RNA. This addresses what is believed to be the major limitation of current attempts to target RNA with small molecules, the lack of specific high affinity binding of small molecules to RNAs, which are much more flexible than proteins. This is a generic method for inhibiting any cellular RNA, as described in the disclosure, including, but not limited to allele specific inhibition or inhibition based on targeting to identified regions of RNA secondary structure where that secondary structure differs between allelic forms.

The invention also provides a method for identifying RNA targets for allele specific therapy. These methods have application in at least two major areas: (1) autosomal dominant diseases, or diseases of excess gene dosage, where elimination of one mRNA (but not both) would have a therapeutic effect and (2) cancer and other diseases with LOH where allele specific therapy will provide a differential effect on disease tissue compared to normal tissue. Such use of allele specific therapy has been described in Housman, U.S. Patent No. 5,702,890, issued 12/30/97 and Housman et al., U.S. Patent Application No. 09/045,053, filed March 19, 1998 which are hereby incorporated by reference in their entireties including drawings. Targeting of different alleles can, for example, involve the use of protein-small molecule complexes as described below, but is not limited to such complexes. For

example, different alleles can be targeted with small molecules or with oligonucleotide inhibitors.

The present invention provides a unique strategy for targeting cellular RNAs. This new method overcomes the limitations of current approaches with respect to affinity and specificity. The invention entails formation of a trimolecular complex involving the target RNA, a small molecule, and a cellular protein. The recruitment of a specific cellular protein to the RNA:small molecule complex has the advantage of substantially increasing the area of binding due to contacts between the protein and the RNA, and thereby increase the affinity of the complex beyond what could be achieved alone with the small molecule and the RNA by cooperative binding generally involving electrostatic, hydrogen bonding, and hydrophobic interactions. These favorable binding interactions will increase the affinity of the complex beyond what could be achieved with a small molecule and RNA.

The present invention also provides a new class of RNA therapeutic targets that will provide the basis for inhibition, e.g., allele specific inhibition, by small molecules, and methods for identifying such targets in candidate RNAs. The basis of the invention is the novel observation that single nucleotide polymorphisms in mRNAs are associated with altered secondary structures. These structural differences, not previously known to be associated with normal allelic variation, provide scope for allele specific small molecule inhibition of RNA. Also described are methods for producing and identifying small molecule inhibitors of RNAs.

Thus, in a first aspect the invention provides a method for identifying a potential small molecule inhibitor, where the inhibition involves the induction of formation of a complex of a cellular RNA, a small molecule inhibitor, and a cellular protein. The potential small molecule inhibitor includes a first and a second moiety joined by a linker. The method involves identifying a first moiety which binds to at least a portion of a selected cellular protein; and also identifying a second moiety which binds to at least a fragment of a cellular RNA. Such joint binding indicates that the small molecule is a said potential small molecule inhibitor. The inhibitory ability of the small molecule can be tested by standard methods, e.g., testing the level of protein synthesis from a target mRNA. Clearly, the identifications of the moieties can be performed in either order. The method can also involve selection of a linker or provision of a standard linker, e.g., based on prior screening. In certain

cases the linker need not be separate from the first or second moiety, but may be a part of one or both moieties.

In this context, the term "potential small molecule inhibitor" refers to a small molecule which binds to both a selected protein and a target RNA but for which the ability to inhibit a biological activity (e.g., reduce the rate or amount of protein translation from an mRNA) of the RNA has not yet been tested. Following confirmation of such inhibitory characteristic, the small molecule can be referred to as a "small molecule inhibitor" or "inhibitor".

The term "small molecule" refers to a compound which has a molecular mass equal to or less than 5000 Daltons (5 kD), preferably less than 3 kD, still more preferably less than 2 kD, and most preferably less than 1 kD. In some cases it is highly preferred that a small molecule have a molecular mass equal to or less than 700 Da.

The term "inhibitor" refers to a compound that reduces to a statistically significant extent at least one activity of a reference compound. In the context of this invention, an inhibitor generally reduces an activity of an RNA, most commonly, but not limited to, reducing or eliminating the rate and/or extent of translation of an mRNA, reducing or eliminating processing of a pre-mRNA to produce a mature RNA; reducing or eliminating transport of an mRNA from nucleus to cytoplasm, or reducing or eliminating a regulatory function of an RNA molecule. Preferably the reduction in activity is sufficient to detect based on gross observation of cellular properties, e.g., growth rate, survival, morphology, and is preferably sufficient and of a nature to produce a therapeutic response in a patient in whom reduction in the expression of the target gene would be beneficial.

In the context of this invention, the phrase "induction of formation of a complex" refers to a property of a small molecule which results in a structure which includes multiple molecules, especially a protein-small molecule-RNA complex, where the components other than the small molecule would not associate with each other or not associate with each other in the same physical relationship to any appreciable extent in the absence of the small molecule or other component which induces formation of the complex. In some cases other molecules may be present in the complex.

In the context of this invention, the term "trimolecular complex" refers to an association or complex which includes a selected protein, a small molecule, and a target RNA. Other components may also be present, e.g., additional proteins or polypeptides, small ions, or water. Preferably, however, the selected protein and the target RNA are the only macromolecules present in the complex. Preferably the stably associated complex consists essentially of or consists of the specified types of molecules.

In preferred embodiments, the identifying of the first moiety involves identifying a known small molecule ligand of the cellular protein. By utilizing a known small molecule ligand (including analogs which retain binding to the protein), it is not necessary to search for a binding moiety, but only to confirm that binding occurs to the selected protein when the ligand is attached to the linker and the second moiety.

In preferred embodiments, the method involves providing a small molecule library containing compounds comprising or consisting essentially of the first moiety and the linker attached to variable second moieties, and screening the library to identify one or more molecules such that the second moiety binds to the target RNA. Preferably the identifying a second moiety involves providing a small molecule library in which compounds have a first moiety which binds to said selected cellular protein, a linker, and a variable second moiety; and screening compounds of the library to identify a compound which binds to the target RNA or fragment thereof. The fragment includes sufficient sequence to retain the characteristics utilized for binding of such molecules to the intact RNA, e.g., local secondary structure.

In reference to selected proteins and target RNA for binding of a first moiety and a second moiety respectively, the terms "fragment" and "portion" refer to a part of the sequence of the intact protein or RNA which retains properties of the molecule important for selecting binding. Preferably the fragment or portion retains the local secondary structure as in the intact molecule. For proteins, a portion is preferably at least 10 amino acid residues in length, more preferably at least 20, and still more preferably at least 40 amino acid residues. RNA fragments are preferably at least 20 nucleotides in length, more preferably at least 50 nucleotides in length, and still more preferably at least 100 nucleotides in length.

Reference to “variable” moieties or linkers in a plurality of compounds or molecules or in a library of such compounds means that different molecules in the group have different moieties or linkers as specified.

Conversely, the term “fixed” in connection with a moiety or linker in a plurality of compounds (including in a library, e.g., a combinatorial library) means that compounds in the plurality have the same specified moiety or linker.

In preferred embodiments the method involves identifying the first moiety by providing a small molecule library of compounds which have a variable first moiety, a linker, and a fixed second moiety, and screening compounds of the library to identify a compound which binds to the selected cellular protein or portion thereof.

In cases where a known ligand for a selected protein is used, preferably the protein and its ligand are selected from adenosine triphosphate analogs, which could sequester one or more cellular ATPases; flavin adenine dinucleotide or FAD analogs; nicotinamide or nicotinamide analogs; folates or folate analogs, which could sequester proteins such as dihydrofolate reductase, thymidylate synthase or other abundant proteins which require folate as a cofactor; cyclosporin, FK506, rapamycin, and coumermycin or their synthetic analogs, which bind to FK506 Binding Protein (FKBP).

In preferred embodiments, the process of identifying the first or second moieties or the linker involves two or more iterations of at least one of the identification steps. This can be performed to improve the characteristics of the small molecule, e.g., the binding affinity for either or both of the selected protein or target RNA or to improve overall complex stability.

In preferred embodiments, the protein constituent of the trimolecular complex is a cellular protein that is present in the cellular compartment(s) in which the target RNA is present.

Preferably the protein constituent of the trimolecular complex is a protein with known RNA binding activity. Such selection can be advantageous as it is already known that the protein has properties which allow stable interaction with RNA, an interaction which can be utilized in the formation of the desired complex.

Preferably the protein constituent of the trimolecular complex is an RNase., for example an RNase from one of the classes RNaseH type 1, RNaseH type 2, and RNaseL; or an RNA helicase.



In preferred embodiments the protein constituent of the trimolecular complex is an DNA binding protein. Such molecules also provide advantageous nucleic acid binding properties.

5 In preferred embodiments, the protein constituent of the trimolecular complex has a two or more basic residues within 10 Angstroms of the small molecule binding site thereby providing favorable charge interactions with the target RNA.

As indicated, the molecules are small molecules, which preferably do not exceed 4000 Daltons in molecular mass, more preferably do not exceed 3000  
10 Daltons, still more preferably do not exceed 2000 Daltons, and still more preferably do not exceed 1000 Daltons, or even 700 Daltons.

In preferred embodiments, the targeted cellular RNA is a pre-mRNA or an mRNA. The term "mRNA" is used in its usual sense as understood by those skilled in the art. The term "pre-mRNA" refers to an RNA transcript which will undergo  
15 processing to become an mRNA. Commonly the pre-mRNA contains additional RNA sequence which will be removed to form the mature mRNA.

In preferred embodiments the targeted cellular RNA has at least one polymorphism that results in an altered secondary structure and the altered secondary structure is the target of the RNA binding moiety of said small molecule.

20 In connection with an RNA, a "sequence polymorphism" or "polymorphism" is a difference in nucleotide sequence between RNAs transcribed from different allelic forms of a particular gene. Most often, a polymorphism is a single nucleotide substitution. A polymorphism, either alone or in conjunction with one or more other polymorphisms can result in a change in RNA secondary structure, e.g., as shown by  
25 changes in digestion with various RNases.

Preferably the targeted cellular RNA is an RNA bearing a mutation that alters secondary structure of the RNA, or that exists on the same allele as another site of variation that alters the secondary structure of the RNA.

The term "allele" refers to one specific form of a gene within a cell or within  
30 a population, the specific form differing from other forms of the same gene in the sequence of at least one, and frequently more than one, variant sites within the sequence of the gene. The sequences at these variant sites that differ between different alleles are termed "variances", "polymorphisms", or "mutations". The

term "alternative allele", "alternative form", or "allelic form" refers to an allele that can be distinguished from other alleles by having distinct variances at at least one, and frequently more than one, variant site within the gene sequence.

5 In preferred embodiments, the method further involves providing a plurality of small molecules which contain a plurality of different linkers and a first moiety identified as described above, or a second moiety identified as described above or both; and screening the plurality of small molecules to identify a potential small molecule inhibitor with advantageous binding or pharmacologic characteristics. The further step of varying the linker can allow selection of a linker which allows more  
10 beneficial presentation or association of the protein and the RNA.

In preferred embodiments, the identification of the second moiety involves providing a small molecule library containing compounds containing fixed first moiety and linker attached to variable second moieties; and screening the library to identify one or more molecules in which the second moiety binds to the target RNA.  
15 Preferably the identification of the first moiety involves providing a small molecule library of compounds which contain a variable first moiety, a linker, and a fixed second moiety identified as just described; and screening compounds of the library to identify a compound which binds to the selected cellular protein or portion thereof.

20 In a second aspect, the invention provides a method for identifying an RNA target for an allele specific therapeutic small molecule, e.g., an inhibitor, by identifying at least one sequence variance in the RNA target in a population of interest, determining whether the RNA secondary structure of any sequence variants identified differs between variant alleles. A difference in the RNA secondary  
25 structure is indicative that the sequence variance is a potential target for an allele specific therapeutic small molecule.

An "allele specific inhibitor" or "variance specific inhibitor" is a drug or inhibitor that inhibits the activity of one alternative allele of a gene to a greater degree than at least one other alternative allele. The difference in activity is  
30 commonly determined by the dose or level of a drug required to achieve a quantitative degree of inhibition. A commonly used measure of activity is the IC50 or concentration of the drug required to achieve a 50% reduction in the measured activity of the target gene.

Preferably an allele specific inhibitor will have at least twice the activity on the target allelic form than on a non-target allelic form, more preferably at least 5 times, still more preferably at least 10 times, and still more preferably at least 50 times, and most preferably at least 100 times. This can also be expressed as the sensitivities of the different allelic forms to the inhibitor. Thus, for example, it is equivalent to state that the target allelic form is most preferably at least 100 times as sensitive to the inhibitor as a non-target allelic form. The activity of an inhibitor can be measured either *in vitro* or *in vivo*, in assay systems that reconstitute the *in vivo* system, or in systems incorporating selected elements of the complete biological system. For use in inhibiting cells containing only the target allelic form rather than cells containing at least one non-targeted allelic form, the difference in activity is preferably sufficient to reduce the proliferation rate or survival rate of the cells having only the targeted allelic form to no more than one half of the proliferation rate or survival rate of cells having at least one non-targeted allelic form. More preferably, the fraction is no more than 1/5 or 1/10, and still more preferably no more than 1/20, 1/50, 1/100, or even lower.

In this context, the term "population" refers to a group of individuals (generally a group of humans) distinguishable from other groups. Bases for distinguishing can, for example, include geography, familial relationship, racial background, age, gender, and combinations of these factors. A "population of interest" is thus a group of individuals which are relevant to identification of a target, a therapeutic or complex-forming agent, or a method of using or making such agents or other aspect of this invention, e.g., a group to which a patient belongs. In most cases a population will preferably encompass at least ten thousand, one hundred thousand, one million, ten million, or more individuals, with the larger numbers being more preferable. In special circumstances, diseases will occur with high frequency in specific geographical regions or within specific familial, racial, or cultural groups, and a relevant population may usefully be considered to be a smaller group.

Preferably, the sequence variance to be targeted occurs in an allele frequency range between 0.1:0.9 and 0.5:0.5 in a population of interest.

The term "allele frequency" refers to the fraction (or frequency of occurrence) of a specific allele as compared to all alleles in a population. It is

recognized in the art that the heterozygote frequency and allele frequency are related and, for certain alleles, can be described by Hardy Weinberg equilibrium calculations. It will also be recognized that sequence variances that occur at high frequency in the population are commonly not deleterious to the health of the individuals who carry these genes and are commonly not disease genes or mutations that are associated with disease.

In preferred embodiments, the determination of the RNA secondary structure is performed using one or more structure-specific enzymes, e.g., a nuclease, preferably selected from T1, T2, S1, U2, CL3, V1, A, PhyM, N.c. nuclease or RNase; or by chemical probing with a chemical selected from the group consisting of dimethyl sulfate, diethylpyrocarbonate, CMCT, kethoxal, bisulfite, ethylnitrosourea, MPE-Fe(II), and Fe(II)-EDTA.

Allele specific targeting can advantageously be applied in a case where the target RNA is an allele that, if reduced in abundance, would at least ameliorate a disease state. The amelioration can, for example, be symptomatic relief, reduction or elimination of one or more deleterious effects of the disease, or even cure of the disease.

Likewise, the target RNA can be an allele associated with an autosomal dominant disease, such as Huntington's disease, or other diseases shown in Table 1.

The target RNA can also be an allele of an essential gene which undergoes loss of heterozygosity in a proliferative disorder, e.g., a cancer. For example, the targets identified by the methods herein can advantageously be utilized in the therapeutic approach described in Housman, *supra*.

The term "proliferative disorder" refers to various cancers and disorders characterized by abnormal growth of somatic cells leading to an abnormal mass of tissue which exhibits abnormal proliferation, and consequently, the growth of which exceeds and is uncoordinated with that of the normal tissues. The abnormal mass of cells is referred to as a "tumor", where the term tumor can include both localized cell masses and dispersed cells. The term "cancer" refers to a neoplastic growth and is synonymous with the terms "malignancy", or "malignant tumor". The treatment of cancers and the identification of anticancer agents is the concern of certain preferred embodiments of the aspects of the present invention. Other abnormal proliferative diseases include "nonmalignant tumors", and "dysplastic" conditions including, but

not limited to, leiomyomas, endometriosis, benign prostate hypertrophy, atherosclerotic plaques, and dysplastic epithelium of lung, breast, cervix, or other tissues. Drugs used in treating cancer and other non-cancer proliferative disorders commonly aim to inhibit the proliferation of cells and are commonly referred to as antiproliferative agents.

In preferred embodiments, the allele specific small molecule is a small molecule inhibitor containing a first moiety which binds to a selected cellular protein or a portion thereof; a second moiety which binds to a target cellular RNA or a fragment thereof, and a linker connecting the first moiety and the second moiety, where the small molecule inhibitor can bind to the selected cellular protein and to the target cellular RNA to form a complex. The molecule can be as further described for those identified in the first aspect above and for corresponding pharmaceutical compositions and complex-forming molecules.

In a third aspect, the invention provides a method for identifying an allele specific RNA binding small molecule for a target RNA, involving the steps of identifying at least one sequence variance in the RNA target in a population of interest having secondary structure differences between variant alleles, screening the two RNA structures for a said sequence variance or variances for binding to or inhibition by a plurality of small molecules; and identifying a compound that preferentially binds to or inhibits one of said RNA structures, where the binding or inhibition is indicative that the compound is an allele specific RNA binding small molecule. Preferably the plurality of small molecules are elements of a small molecule combinatorial library.

Preferably the method includes determining whether the allele specific RNA binding small molecule provides a cellular activity, by contacting cells producing the target RNA with the allele specific RNA binding small molecule; and determining whether the small molecule produces a change in cellular activity. Thus, the method uses a cellular assay to identify cellular effects. Preferably the cellular effect or activity is a decrease in expression of the target RNA or the translation product.

As in preceding aspects, the target RNA is preferably a pre-mRNA or an mRNA.

In preferred embodiments, the plurality of small molecules is a plurality of potential small molecule inhibitors each comprising a first moiety which may be the same or different and a second moiety which differs between the plurality of small molecules and a linker joining the first moiety and the second moiety. The first moiety is selected to bind to a selected cellular protein or a portion thereof; and the second moiety is selected to bind to a target cellular RNA or a fragment thereof, thereby forming a trimolecular complex.

Additional preferred embodiments are as described for the first aspect above.

In a fourth aspect, the invention provides a pharmaceutical composition which includes a small molecule inhibitor where the inhibitor includes a first moiety which binds to a selected cellular protein or a portion thereof; a second moiety which binds to a target cellular RNA or a fragment thereof, and a linker connecting said the moiety and the second moiety, where the small molecule inhibitor can bind to the selected cellular protein and to the target cellular RNA to form a complex. The composition also includes a pharmaceutically acceptable carrier, excipient, or diluent. Generally the inhibitor will be a synthetic molecule.

In the context of the inhibitor or binding compounds of this invention, the term "synthetic" indicates that the compound has been prepared in whole or in part by the intervention of humans, and is not a compound naturally produced by a naturally occurring organism. A portion or even most of a synthetic molecule can be produced by an organism, and then modified by synthetic methods. Alternatively, most or all of a molecule can be prepared by synthetic methods, and the resulting molecule is then referred to as "fully synthetic".

In certain cases, a naturally occurring molecule may be found which has the complex-forming properties described. Such a molecule could be used in the methods described herein for using compounds which inhibit or bind RNA, or could be modified to alter its binding and/or activity characteristics.

Preferably the selected protein is a human protein; preferably the target RNA is a human RNA.

Preferably the small molecule inhibitor inhibits expression of said target RNA.

In preferred embodiments the small molecule inhibitor has differential activity on variant forms of the RNA differing in secondary structure, where the

difference in secondary structure is related to at least one sequence variance.

Preferably the targeted cellular RNA has a polymorphism that results in an altered secondary structure and the altered secondary structure is the target of the RNA binding moiety of said small molecule.

5 Also in preferred embodiments, the inhibitor is a compound as identified in the first aspect above and/or the target is as identified in the second aspect.

In a fifth aspect, the invention provides a method for inhibiting expression of an mRNA. The method involves contacting cells normally expressing the mRNA with a small molecule inhibitor. The inhibitor has a first moiety which binds to a  
10 selected cellular protein or a portion thereof; a second moiety which binds to a target cellular mRNA, and a linker connecting the first moiety and the second moiety. The small molecule inhibitor can bind to the selected cellular protein and to the target cellular mRNA to form a complex.

In preferred embodiments, the molecule and/or target is as described for  
15 embodiments of aspects above.

In a sixth aspect, the invention provides a method for treating an autosomal dominant or gene dosage disease, by administering to a patient suffering from such a disease a therapeutically effective amount of an allele specific RNA inhibitor preferentially active on an allelic form of a target RNA in which at least one  
20 sequence variance provides a difference in secondary structure and wherein the allele specificity involves that difference in secondary structure.

In preferred embodiments, the disease is an autosomal dominant disease, and the patient is heterozygous for the gene corresponding to the target RNA.

Also in preferred embodiments, the disease is a gene dosage disease, and  
25 said administering is adjusted to reduce but not eliminate expression of the gene. Preferably the patient is heterozygous for the gene and the inhibitor preferentially reduces or eliminates expression of only one allele.

The term "gene dosage disease" refers to a disease which is at least partially has a cause based on overactivity of a product of a gene, e.g., overexpression of the  
30 gene.

A "therapeutic effect" results, to some extent, in a measurable response in the treated disease or condition. Thus, a therapeutic effect can, for example, include a cure, or a lessening of the growth rate or size of a lesion such as a tumor, or an

increase in the survival time of treated patients compared to controls, a relief of a deleterious symptom, or a slowing of the progression of a disease, among other possible effects.

The term "therapeutic amount" means an amount which, when administered  
5 appropriately to a patient e.g., a mammal, e.g., a human, suffering from a disease or condition, produces a therapeutic effect.

In preferred embodiments, the molecule and/or target is as described for aspects and embodiments above.

In a seventh aspect, the invention provides a method of treating a patient  
10 suffering from a disease or condition, by administering to the patient an allele specific inhibitor of a target RNA where the allele specificity involves a difference in secondary structure related to at least one sequence variance.

In preferred embodiments, the method involves determining the presence of the at least one sequence variance in the patient.

15 In preferred embodiments, the inhibitor and/or the target is as described for aspects or embodiments above.

In an eighth aspect, the invention provides a method for producing a pharmaceutical agent, by identifying a small molecule inhibitor of a cellular RNA. The inhibitor induces formation of a complex with a cellular protein and the  
20 inhibitor includes a first moiety and a second moiety and a linker joining the first moiety and the second moiety. The method involves identifying a first moiety which binds to a selected cellular protein or a portion thereof; and identifying a second moiety which binds to a target cellular RNA or a fragment thereof, and synthesizing the small molecule inhibitor in an amount sufficient to provide a  
25 therapeutic response when administered to a patient. The identification can also involve selecting a linker which allows advantageous complex formation.

In preferred embodiments, the inhibitor is preferentially active on an allelic form of an mRNA in which at least one sequence variance provides a difference in RNA secondary structure.

30 Further preferred embodiments include embodiments as specified in the first and/or second aspects.



In the methods of inhibiting an RNA and/or methods of treating a patient, a compound may be used in various ways, for example, the compound may be used alone, or may be used as part of a pharmaceutical composition.

5 In a ninth aspect, the invention provides a complex-forming molecule which includes a first moiety which binds to a selected cellular protein or a portion thereof; a second moiety which binds to a target cellular RNA or a fragment thereof, and a linker connecting said first moiety and said second moiety. The small molecule inhibitor can bind to the selected cellular protein and to the target cellular RNA to form a complex.

10 In preferred embodiments the molecule will inhibit expression of a target mRNA under translation conditions.

"Translation conditions" refers to conditions such that translation would occur from a particular selected mRNA in the absence of an inhibitor of translation. Thus, such conditions can include where an *in vitro* translation system is present as well as *in vivo* conditions.

15 In preferred embodiments, the selected cellular protein is an RNA binding protein or a derivative thereof.

Further preferred embodiments are as described for the inhibitors in pharmaceutical compositions herein and/or has components, properties, targets or binding pairs as otherwise described herein.

20 By "comprising" is meant including, but not limited to, whatever follows the word "comprising". Thus, use of the term "comprising" indicates that the listed elements are required or mandatory, but that other elements are optional and may or may not be present. By "consisting of" is meant including, and limited to, whatever follows the phrase "consisting of". Thus, the phrase "consisting of" indicates that the listed elements are required or mandatory, and that no other elements may be present. By "consisting essentially of" is meant including any elements listed after the phrase, and limited to other elements that do not interfere with or contribute to the activity or action specified in the disclosure for the listed elements. Thus, the phrase "consisting essentially of" indicates that the listed elements are required or mandatory, but that other elements are optional and may or may not be present depending upon whether or not they affect the activity or action of the listed elements.

Other features, advantages and embodiments will be apparent from the following Detailed Description of the Preferred Embodiments and from the Claims.

5

### BRIEF DESCRIPTION OF THE DRAWINGS

**Fig. 1.** Nuclease S1 mapping of allelic mRNA structures. -S1, control reaction without S1 added; +S1, Partial digestion by S1 at 37°C with increasing S1 concentration; +OH<sup>-</sup>, alkaline hydrolysis reaction; +T1(Δ), partial digestion by T1 at 55°C to create a G-ladder to allow determination of cleavage sites; -T1(Δ), control reaction without T1 added. **a**, Mapping of accessible single-stranded regions of the U- and C-alleles of a 113-mer fragment (nucleotides 951-1063) of AARS mRNA. **b**, Mapping of accessible single-stranded regions of the U- and C-alleles of a 544-mer fragment (nucleotides 1378-1921) of RPA70 mRNA. Polymorphic positions are indicated in boldface and labeled SNP.

15

**Fig. 2.** Proposed secondary structural models of the polymorphic regions of the pairs of mRNA alleles. The polymorphic bases are shown boldfaced and labeled SNP. The model building was assisted by the use of computer program MFOLD (M. Zuker, Washington University, St. Louis, MO). Only modeled regions supported by structural mapping data are shown. **a**, Proposed allelic structures of the polymorphic region of AARS mRNA. The models are consistent with the data on structural mapping by nuclease S1 and RNase T1. The black bar highlights the single-stranded region around nucleotide 999 of the U-allele. **b**, Proposed allelic structures of the polymorphic region of RPA70 mRNA. The models are consistent with the data on structural mapping by nuclease S1. The black bar highlights the single-stranded region around nucleotide 1656 of the U-allele.

25

**Fig. 3.** *E. coli* RNase H digestion of both alleles of the 544-mer fragment of the RPA70-1674U/C mRNA. **a**, Nucleotide sequence of the PS-oligos used in the

assay. Oligos 1 and 2 target the polymorphic site; the bases opposing the polymorphism in the mRNA are shown in boldface. Oligos 3 to 6 target the site of different allelic structures around nucleotide 1656. The terminal residues of oligos 1, 2, 3 and 6 are labeled according to the positions of the complementary nucleotides in RPA70 mRNA. Oligos 4 and 5 share the same target hybridization sequence as 3, but with additional nucleotides (shown underlined) added to facilitate folding into hairpin structures for intended enhancement in allele discrimination. **b**, *E. coli* RNase H digestion patterns of the 5'-<sup>32</sup>P-labeled U- and C-alleles hybridized to the oligos in **a**. Cleavage sites were confirmed by running on the same gel RNase T1 digestion ladders for the two alleles (not shown): they are centered at position 1674 for oligos 1 and 2, 1650 for oligos 3-5, and 1654 for oligo 6. **c**, Comparison of percent cleavages of the U- and C-alleles calculated from **b**. Oligo-dependent-cleavage bands were integrated as a percentage of total RNA in each lane with the overlapping background-cleavage bands subtracted. Variations in sample loading to each lane were internally corrected by measuring percent cleavage instead of absolute band intensity.

**Fig. 4.** Northern blot analysis of oligonucleotide-directed cleavage of endogenous RPA70 mRNA in human cell extracts. The extracts were prepared from HeLa and Calu-1 cells that express only the 1674U- or the 1674C-allele of the RPA70 mRNA, respectively. In addition to PS-oligos 1, 2, 3 and 6 as described in Fig. 3, oligo 7 (5' TGGTCTGCAGTTAGGGTCAG 3') was used in the assay as a non-allele-specific control oligo that targets nucleotides 2230-2249 of the 3'-UTR of RPA70 mRNA. **a**, Targeting the 1674U/C polymorphic site by oligos 1 and 2. **b**, Targeting the site of different allelic structures around nucleotide 1656 by oligos 3 and 6. Reactions were done in duplicates. The averaged data points of the duplicates are plotted with standard deviations as error bars, and are shown below the corresponding Northern blots. Intact RPA70 mRNA levels were normalized to GAPDH levels and expressed as a percentage of no-oligo control for each experiment.

Fig. 5A and 5B show the general structures of certain species which can be used as linkers in the present invention.

5        DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

The development of therapeutic compounds has been and for the foreseeable future will continue to be a costly and time consuming process with only a modest rate of success. The vast majority of current drugs, as well as the majority of drugs  
10 in development are small molecules directed to protein targets. Small molecules have a number of attractive pharmaceutical properties compared to other classes of therapeutic compounds, including high potency, oral availability, effective access to most cellular compartments, economical manufacturing and formulation costs and stability. However, some limitations of small molecule drug development are that  
15 (1) current technology is best suited to protein targets, despite the fact that in some diseases the most desirable therapeutic end may be total elimination of a particular protein, (2) some protein targets are not amenable to small molecule modulation, often because they lack the hydrophobic pockets characteristic of good small molecule binding sites, (3) cross reaction of small molecule compounds with cellular  
20 macromolecules other than the target can lead to unintended, and often clinically unacceptable, effects.

Methods for developing inhibitors of specific RNAs would be of great use in addressing the above limitations of small molecule therapeutics. This fact has not escaped notice; there have been a variety of attempts to develop therapeutic  
25 compounds that target RNA. Most of the effort has focused on non-small molecule compounds such as antisense oligonucleotides, peptide nucleic acid, ribozymes, DNazymes and similar nucleic acid based compounds. These polymeric compounds do not have most of the advantageous pharmaceutical properties of small molecules. There are some preliminary reports of small molecule interactions  
30 with mammalian mRNAs, however these interactions are characterized by micromolar to millimolar affinities and do not appear generalizable.

As the molecular genetic description of disease advances, the level of specificity desired from therapeutic compounds increases as well. Current methods

do not provide for the level of specific gene or allele inhibition required to treat many diseases. The limit of the discrimination problem is the need to distinguish allelic forms of RNAs or proteins that may differ by as little as one nucleotide or one amino acid. The value of being able to discriminate allelic differences is evident  
 5 when one considers, for example, autosomal dominant diseases or diseases of excess gene dosage, where elimination of one mRNA (but not both) would have a therapeutic effect. There are no effective pharmaceutical methods for discriminating such closely related molecules.

A good example of a disease in which discrimination of allelic differences  
 10 would be very helpful is Huntington's Disease, an autosomal dominant neurological disorder in which the presence of one copy of a Huntinton's allele (with an expanded triplet repeat) confers a gain of function phenotype associated with neurological dysfunction and degeneration. A variety of human and animal data support the view that elimination of the abnormal protein would eliminate the  
 15 disease. However, once a protein is synthesized it is not clear that a small molecule can efficiently induce its prompt destruction. Therefore a more practical route is elimination of the RNA encoding the abnormal allele, thereby preventing synthesis of the abnormal protein. Prevention of such synthesis is advantageously performed at the RNA level, e.g., by promoting rapid destruction of the RNA, by preventing  
 20 processing of pre-mRNA to mature mRNA, preventing transport of mRNA from nucleus to cytoplasm, or preventing translation of mRNA to form protein.

A number of additional exemplary autosomal dominant diseases are shown in the following table. The information is also obtainable from other sources, for example at the electronic address shown below the table.

25

TABLE 1

Table of Autosomal Dominant Diseases

OMIM Number <sup>1</sup>	Gene
118100	CERVICAL VERTEBRAL FUSION
148210	KERATITIS-ICHTHYOSIS-DEAFNESS SYNDROME
601227	PROGRESSIVE EXTERNAL OPHTHALMOPLEGIA, TYPE 3
601226	PROGRESSIVE EXTERNAL OPHTHALMOPLEGIA, TYPE 2
600363	SPASTIC PARAPLEGIA 6
157640	PROGRESSIVE EXTERNAL OPHTHALMOPLEGIA

173100	PITUITARY DWARFISM DUE TO ISOLATED GROWTH HORMONE DEFICIENCY
179800	DISTAL RENAL TUBULAR ACIDOSIS
193100	VITAMIN D-RESISTANT RICKETS
181350	SCAPULOILIOPERONEAL ATROPHY WITH CARDIOPATHY
182601	SPASTIC PARAPLEGIA 4
182600	SPASTIC PARAPLEGIA 3
166600	TYPE II OSTEOPETROSIS
146750	ICHTHYOSIS, LAMELLAR
601544	NONSyndROMIC SENSORINEURAL 3 DEAFNESS
137600	IRIDOGONIODYSGENESIS, TYPE 2
164500	SPINOCEREBELLAR ATAXIA 7
156580	MICROCEPHALY
128100	TORSION DYSTONIA 1
125310	HEREDITARY MULTI-INFARCT TYPE DEMENTIA
177850	PSEUDOXANTHOMA ELASTICUM
168601	AUTOSOMAL DOMINANT LEWY BODY IN PARKINSON DISEASE
600101	DEAFNESS, AUTOSOMAL DOMINANT NONSyndROMIC SENSORINEURAL 2
145410	HYPERTELORISM WITH ESOPHAGEAL ABNORMALITY AND HYPOSPADIAS
156590	MICROCEPHALY WITH CHORIORETINOPATHY
105650	DIAMOND-BLACKFAN ANEMIA
602485	HYPERINSULINISM
129490	ECTODERMAL DYSPLASIA 3
601543	NONSyndROMIC SENSORINEURAL 8 DEAFNESS
150250	LARSEN SYNDROME
104500	HYPOPLASTIC LOCAL AMELOGENESIS IMPERFECTA 2
600666	POLYCYSTIC KIDNEY DISEASE 3
164100	NYSTAGMUS 2, CONGENITAL
130050	EHLERS-DANLOS SYNDROME, TYPE IV
550000	MITOCHONDRIAL DNA BREAKAGE SYNDROME, SECONDARY TO NUCLEAR MUTATION
601251	RETINAL CONE DYSTROPHY 2

OMIM Number <sup>1</sup>	Gene
169500	AUTOSOMAL DOMINANT OR LATE-ONSET TYPE PELIZAEUS-MERZBACHER DISEASE
134610	FAMILIAL MEDITERRANEAN FEVER-LIKE SYNDROME WITH AMYLOIDOSIS
193220	VITREORETINOCHOROIDOPATHY
601842	AUTOSOMAL DOMINANT NONSyndROMIC SENSORINEURAL 12 DEAFNESS
142680	FAMILIAL AUTOSOMAL DOMINANT PERIODIC FEVER
600994	AUTOSOMAL DOMINANT NONSyndROMIC SENSORINEURAL 5 DEAFNESS
177735	PSEUDOHYPOALDOSTERONISM, TYPE I

601369	DEAFNESS, AUTOSOMAL DOMINANT NONSYNDROMIC SENSORINEURAL 9
161800	NEMALINE MYOPATHY 1
174000	MEDULLARY CYSTIC KIDNEY DISEASE 1
600223	SPINOCEREBELLAR ATAXIA 4
603563	SPASTIC PARAPLEGIA 8
144750	HYPEROSTOSIS CORTICALIS GENERALISATA, BENIGN FORM OF WORTH, WITH TORUS PALATINUS
600165	NANOPHTHALMOS 1

<sup>1</sup> <http://www.ncbi.nlm.nih.gov/Omim/>

A second set of diseases in which allele specific inhibition would provide a  
 5 therapeutic benefit includes cancer and other diseases with LOH. In these diseases  
 there is a genetic difference between normal somatic tissues and disease tissues, and  
 exploitation of that difference will provide a differential harmful effect on disease  
 tissue compared to normal issue. The inhibitor molecules provided by this invention  
 can also be used in such areas, as well as other applications where an inhibitor of an  
 10 RNA would be beneficial, especially where an allele-specific inhibitor would be  
 advantageous.

In accordance with the above description, as small molecules often have  
 superior pharmacologic properties to polynucleotide therapeutics, there exists  
 substantial interest in discovering ways to target specific RNA sequences and  
 15 structures using small molecules. In a few limited examples, naturally occurring  
 antibiotics have been found to act by binding the RNA component of  
 ribonucleoprotein complexes, e.g., aminoaglycoside antibiotics. (Fourmy et al.  
 (1996) Science 274:1367-1371) As such molecules cause global rather than gene-  
 specific suppression of gene expression, their use has been limited almost  
 20 exclusively to antibacterial and antifungal therapy. A more powerful and broad  
 application of RNA targeting would entail the discovery of small molecules that  
 bind specifically to particular mRNAs or pre-mRNAs and modulate their function  
 by, for example, inhibiting or activating translation or splicing. This is especially  
 challenging because proteins are believed to displace all but the most strongly bound  
 25 ligands from mRNA, and few if any small molecules are known to bind mRNA  
 with an equilibrium dissociation constant ( $K_d$ ) below 100 nM (Chow & Bogdan  
 (1997) Chem. Rev. 97:1489-1513). Nonetheless, at least one example exists to

demonstrate that even micomolar RNA binders can specifically inhibit the expression of mRNA in cells (Mei et al. (1998) *Biochemistry* 37:14204-14212). Additionally, expression of a mRNA containing an engineered Hoechst dye-binding aptamer was found to be suppressed by addition of millimolar concentrations of dye to mammalian cells containing the engineered mRNA (Werstuck & Green (1998) *Science* 282:296-298). Notwithstanding these examples, it is widely recognized that RNA represents an especially difficult species to target using small molecules, as judged by numerous failures to discover legitimate leads in high-throughput screening efforts conducted throughout the pharmaceutical industry over the past decade. The difficulties in targeting RNA with small molecules most likely arise from the fact that highly structured RNAs present few hydrophobic pockets; in proteins, such pockets are almost without exception the binding sites for small molecules.

The current understanding of small molecule binding to macromolecules is largely shaped by the study of small molecule–protein interactions. The characteristics of such interactions include: (1) much of the binding energy is associated with the exclusion of water by hydrophobic contacts, typically involving an invagination of the protein surface (hydrophobic binding pocket) into which the small molecule ligand fits; (2) The binding often entails some change in the orientation of the amino acids comprising the pocket (induced fit); (3) charge interactions are generally not a component of high affinity protein – small molecule interactions.

These properties of small molecule–protein interactions indicate that RNA are targetable by small molecules as well because RNA shares many of the properties of proteins that make them attractive for binding small molecules. First, RNA frequently adopts a shape similar to proteins that bind small molecules. Second, the surfaces of RNAs tend to present the sugars and bases, thereby facilitating interactions of these groups with small molecules. Such interactions can include hydrogen bonding, van der Waals interactions, and electrostatic interactions, as well as hydrophobic interactions in certain orientations. Third, like proteins, RNA structures are plastic, which allows them to mold to the shape of a ligand (induced fit). Fourth, the sequence complexity of RNA, and particularly the



complexity of higher order RNA structure, creates unique three dimensional structures in specific RNAs that are targetable with high specificity.

Despite these attractive properties of RNAs, the development of small molecule inhibitors of RNA has not advanced beyond the identification of some natural products (aminoglycoside antibiotics) which bind prokaryotic ribosomal RNA and inhibit protein translation. The reasons for this include: the hydrophobic surfaces of RNA exist amidst a high concentration of polar (edges of bases, 2'-OH groups of sugars) and charged (phosphate moieties) groups which are repulsive to hydrophobic moieties. Thus it is not surprising that most small molecules that bind RNA have charged functionality in addition to hydrophobic surfaces. These are the characteristics of aminoglycoside antibiotics, for example. The charge on such molecules impedes cell membrane penetration and generally confers upon such molecules poor pharmacological properties (e.g. poor intestinal absorption, poor binding to serum proteins that act as carriers).

An important aspect of the present invention is the realization that the formation of a trimolecular complex between an RNA, a protein and a small molecule can address some of the limitations of small molecule - RNA interactions. In particular, favorable electrostatic contacts between a protein and an RNA can dissipate charge, thereby creating an improved local environment for hydrophobic interactions between the RNA binding moiety of a small molecule and its target RNA. There is also a cooperative quality to the binding interactions between (1) RNA and protein, and (2) the small molecules of this invention, which link an RNA and a protein via interactions with two moieties of the small molecule.

The favorable electrostatic interactions between protein and RNA which are an aspect of this invention will depend on the specific chemical characteristics of the target RNA and the target protein. Such interactions are understood by those skilled in the art. It is worth noting, however, that most proteins have a preponderance of polar and charged residues on their surfaces, and proportionately fewer hydrophobic groups. Further, many proteins have patches (in three dimensional space) of basic residues in the vicinity of their ligand binding sites. Such proteins would constitute one class of preferred protein partners for the small molecules of this invention.

Thus, the description presented here provides a way to overcome the weak interactions that are characteristic between small molecules and RNA, and can

provide a mechanism for small molecules to bind to and alter the biology of a specifically targeted RNA. As indicated above, in a general aspect, this invention includes a RNA target, a cellular protein, and a small molecule that simultaneously interacts with both the RNA and the protein bringing them into close proximity. An  
5 important aspect of the invention is that the protein and the RNA, when in close proximity, also interact, but only when brought together through the intermediacy of the small molecule. In other words, the RNA and the protein do not appreciably interact in the absence of the small molecule. The resulting trimolecular complex (protein-small molecule-RNA), initiated by the small molecule, provides a level of  
10 stability that would be difficult to achieve with the small molecule alone, because the ensuing protein-RNA contacts stabilize the overall complex. Harnessing "additional" RNA binding affinity by recruitment of cellular protein, can result in a binding complex with increased affinity for the targeted RNA. The result of complex formation can be to prevent translation, to shorten mRNA half-life, or to  
15 induce mRNA cleavage, thereby suppressing expression of the targeted gene.

Thus, the close juxtaposition of protein and RNA would drive RNA-protein interactions that would not otherwise occur. Therefore, the protein that is recruited by the small molecule to form the RNA binding complex need not necessarily be limited to RNA binding proteins. Proximity can also induce RNA-protein  
20 interactions even involving proteins that are not known to bind RNA with high affinity or high specificity. The interactions with RNA may occur by exploiting amino acids normally involved in protein-protein interactions or basic residues that are positioned close to the RNA. The resulting trimeric complex would have specificity (due to the small molecule) and high affinity (due to multiple synergistic  
25 binding interactions with RNA of both the small molecule and protein). Proteins that would be advantageous for use in this invention include:

Abundant proteins (so that protein constituent of complex would not be limiting)

Ubiquitously expressed proteins (so that method can be applied to all target  
30 tissues)

Proteins with known small molecule ligands (simplifies method and likely provides increased affinity of the protein-RNA interaction component of the trimer complex)

DNA binding proteins

Ribonucleases (Rnases) (shorten half-life of targeted RNA, suppressing translation and gene expression, does not rely on steric interactions to prevent translation)

5 Proteins that bind cell-permeable small molecule ligands

A method to enhance the bioactivity of RNA-binding small molecules is described. This method is predicated on: (1) identification of a cellular protein with favorable characteristics to interact with RNA if brought in close proximity; (2) synthesis of a bipartite small molecule possessing an invariant ligand on one end that  
10 interacts with the identified protein and a variable structure (e.g., generated by combinatorial chemistry) on the other end; and (3) an assay able to detect specific binding of the protein-small molecule composite surface to the targeted RNA.

General techniques for regulating the proximity and orientation of proteins in cells have been developed (Schreiber & Crabtree (1996) Trends Biochem. Sci.  
15 21:418-422) and are relevant and useful for this invention. Small molecule chemical inducers of dimerization (CIDs), which bind two proteins simultaneously, have been used to modulate the activity of several different cellular protein interactions (developed (Schreiber & Crabtree (1996) Trends Biochem. Sci. 21:418-422; Spencer et al. (1993) Science 262:1019-1024; Pruschy et al. (1994) Chem.  
20 Biol. 1:163-172; Spencer et al. (1996) Curr. Biol. 6:839-847; Belshaw et al. (1996) Chem. Biol. 3:731-738; Spencer et al. (1995) Proc. Nat. Acad. Sci. USA 92:9805-9809; Holsinger et al. (1995) Proc. Nat. Acad. Sci. USA 92:9810-9814; Luo et al. (1996) Nature (London) 383:181-185; Farrar et al. (1996) Nature (London) 383:178-181; Belshaw et al. (1996) Proc. Nat. Acad. Sci. USA 93:4604-4607; Harding et al.  
25 (1989) Nature (London) 341:758-760) and show the feasibility of enlisting non-physiological interactions to effect physiological changes.

One such CID, rapamycin, binds both FK506 binding protein (FKBP12) and FKB12-rafamycin-associated protein (FRAP) simultaneously, creating a trimeric complex. Complex formation inhibits the kinase activity of FRAP, resulting in cell  
30 cycle arrest at G<sub>1</sub>. Interestingly, it is the composite surface, with contributions from both rapamycin and FKBP12 which mediates the interaction of the complex with FRAP. The X-ray crystal structure for this trimeric complex has been solved (Choi et al. (1996) Science 273:239-242). The structure shows that the rapamycin is a

bipartite molecule interacting with a hydrophobic pocket on each protein. Each end of rapamycin fits into each protein allowing alignment and protein-protein interaction to the two bound proteins to occur. Although rapamycin interacts extensively with both protein partners, the extent of protein interaction is limited.

- 5 The structure suggests that the interaction does not require extensive protein interactions, because only 3 H-bond contacts and only 400 Å<sup>2</sup> of solvent-accessible surface area participate in the interaction between FRBP12 and FRAP.

The present invention involves targeting a mRNA sequence, a mRNA variance, or a structural variation due to a nucleotide variance with a small molecule.

- 10 This can be achieved using a relatively low affinity RNA-binding small molecule whose binding is augmented by being presented to the RNA as a complex with a protein. To form high affinity complexes with RNA, usually a bipartite small molecule is designed to include an invariant protein-specific ligand on one end and an element of a combinatorial small molecule library on the other end, connected by
- 15 a linker. The bipartite small molecule will bind to its protein and create a composite binding surface that would interact with RNA. With binding moieties and linker properly selected, "protein highjacking" by the small molecule will induce the formation of protein-RNA bonds that would otherwise not occur, resulting in high affinity binding to the target RNA. The stability of the complex (mRNA, small
- 20 molecule, and protein) will be higher than the binding of either of the components to the target mRNA individually and could alter the biology of the target mRNA.

- In a specific application, a protein-small molecule complex is created by binding of a specific protein to the invariant specific ligand on one end of the bipartite small molecule. Preferably this protein would bind the small molecule in a
- 25 hydrophobic pocket that was surrounded with basic residues favoring interaction with nucleic acid. The binding would result in a composite binding surface whose characteristics would be contributed by the local amino acid residues and the particular chemical entity presented by the variable end of the small molecule. The dimeric complex would then be assayed for RNA binding to identify potential
- 30 chemical inducers of protein-RNA dimerization. By analogy to the system described for CIDs, the juxtaposition of both macromolecules by the small molecule will induce RNA-protein interactions resulting in a high affinity trimolecular complex. High affinity complex formation can result in an alteration of the biology

of the mRNA and suppression of gene expression. This can occur in at least two ways:

- 1) If the complex were to form in the 5' UTR, 3' UTR, splicing junctions of mRNA, or the coding region of the mRNA, the stability of the complex could inhibit maturation, processing, or translation of the mRNA.
- 2) If the "highjacked" RNA binding protein also contains a nuclease activity (e.g., RnaseL), then the trimerization could direct specific cleavage of the target mRNA.

RnaseL represent one potential candidate nuclease whose binding could be strengthened in the presence of the appropriate small molecule. RnaseL is unique among nucleases in that its activity is ligand dependent. A ligand binding domain has been identified in the amino terminal region of this nuclease which, when bound with ligand, activates the nuclease activity of the protein. This domain and its characterized ligand, 2'-5' oligoadenylate (2-5A), present a blueprint upon which to model a bipartite small molecule that would bind to RnaseL, both activating its nuclease activity and targeting it to a specific RNA simultaneously. For this application, a specific bipartite small molecule would be synthesized with a 2-5A ligand mimic at one end and elements of a combinatorial library at the other end.

The action of the modified small molecule would take place in two stages: 1) recognition of and binding to RnaseL; 2) recruitment and local activation of RnaseL to ablate the target mRNA.

Other RNases could also be used, with or without engineering of ligand-dependent nuclease activity, as well as other RNA binding proteins. Such proteins have the advantage that the structure is known to be suitable for forming a stable complex with RNA, thus it is very probable that that stability can be utilized in forming a specific protein-small molecule-RNA complex. The structure can be modified as desired to provide a suitably low level of non-specific RNA binding. Those skilled in the art are familiar with the modification and mutation methods useful for modifying protein structure and evaluation of the resulting RNA binding properties, and further with how to provide a suitable balance between RNA binding in the absence of the small molecule and stabilization of the complex.

Those skilled in the art also recognize that identification of binding small molecules, and the particular binding moieties, which can simultaneously bind to a selected protein and a target RNA can proceed in various ways, all of which are within the scope of this invention. While it is preferred that screening for binding occur with small molecules which contain a first and second potential binding moieties and a linker joining the two, a binding molecule can also be constructed by building up from an initial binding molecule. Thus, for example, a small molecule can be identified (e.g., by screening or by identification of a known ligand or binding compound) which binds to the selected protein. This molecule can be used as the first moiety and attached to a variety of linkers and/or attached to the linker with various chemistries or attachment locations. The combined first moiety and linker molecules can then be screened to identify those which retain binding capability. Those which retain binding can then be attached to potential RNA binding moieties and screened for binding to the target RNA, either in the presence or absence of bound protein. Similarly, the build-up could proceed from the RNA binding moiety and/or could begin with one of the moieties attached to linker(s). In any of the approaches, it can be beneficial to vary the linker (e.g., different linker molecules and/or different length linkers) to identify molecules with better characteristics (e.g., tighter binding).

Additional information on the construction of small molecule libraries and the selection of the first and second moieties and linkers of the described complex-forming compounds follows.

#### Protein Binding Moiety - Moiety 1

In one embodiment of the invention the selection of a protein binding moiety (moiety 1) is achieved by a screening process. The aim of the screening process may be to identify a ligand for a protein that does not have a known small molecule ligand, or to optimize a known ligand. For example, the protein RNase L has a known ligand, 2'-5' oligoadenylate (2-5A), however it may be desirable to identify a smaller, or less charged molecule that interacts with the 2-5A binding site of RNase L. Such a molecule can be identified by a screening assay in which RNase L is fixed in the well of a microtiter plate and tested for binding (and, optionally, activation of RNase activity) by a series of 2-5A analogs. One skilled in the art will recognize that nucleotide analogs, or oligomerized nucleotide analogs (with

modification of the phosphodiester bond, the sugar, the base, or some combination of these groups) would be a useful starting point for such a library, however other approaches are also useful, such as non-nucleotide structures which replicate some of the structural elements of 2'-5' polynucleotides. Alternatively, a synthetic or  
5 natural product library can be screened without respect to the structure of the natural ligand.

In general the composition of a library from which to select a binding moiety 1 should be guided by the principles which inform design of small molecule libraries for protein targets. Guidance on the construction of such libraries is provided in a  
10 number of recent texts including the following. Terrett, N. K. and Terret, N., (1998) Combinatorial Chemistry (Oxford Chemistry Masters) Oxford Univ Press, ISBN: 0198502192. Terrett, Nicholas K. (1998) Combinatorial Chemistry (Oxford Chemistry Masters, 2), Oxford Univ Press, ISBN: 0198502206. Wilson, Stephen R. (Editor), and Anthony W. Czarnik (Contributor) (1997) Combinatorial Chemistry :  
15 Synthesis and Application, John Wiley & Sons, ISBN: 047112687X. Bunin, Barry A. (Editor) (1998) The Combinatorial Index, Academic Press, ISBN: 0121413403. Obrecht, Daniel and Jose M. Villalgordo (1998) Solid-Supported Combinatorial and Parallel Synthesis of Small-Molecular-Weight Compound Libraries (Tetrahedron Organic Chemistry Series, V. 17), Pergamon Press, ISBN: 0080432581.

20 In another aspect of the invention the protein binding moiety is a known small molecule ligand of a cellular protein or an analog of such a ligand. Examples of specific small molecules which may be useful for moiety 1 include: adenosine triphosphate analogs, which could sequester one or more cellular ATPases; flavin adenine dinucleotide or FAD analogs; nicotinamide or nicotinamide analogs; folates  
25 or folate analogs, which could sequester proteins such as dihydrofolate reductase, thymidylate synthase or other abundant proteins which require folate as a cofactor; cyclosporin, FK506, rapamycin, and coumermycin or their synthetic analogs, which bind to FK506 Binding Protein (FKBP). Dimerization schemes based on the latter four natural products have been described. Recently totally synthetic, cell-  
30 permeable analogs of these compounds, with superior pharmacological properties, have been produced. (Amara, J.F., Clackson, T., Rivera, V.M., Guo, T., Keenan, T., Natesan, S., Pollock, R., Yang, W., Courage, N.L., Holt, D.A. and M. Gilman

(1997) *Proc. Natl. Acad. Sci. U. S. A.* 94(20):10618-23.) The compounds of Amara et al. are also useful compounds for the present invention.

Another class of known ligands of cellular proteins are ligands for nuclear proteins. Such ligands have the advantage of providing access to pre-mRNA, which is confined to the nucleus. Pre-mRNA, which includes introns of intron-containing genes, is often larger than processed mRNA, and therefore contains more potentially targetable structures. Ligands of nuclear proteins include nucleotide analogs which bind to specific DNA repair proteins. Examples of such ligands include 5' fluorocytidine, which binds to DNA methyltransferase; <sup>6</sup>O-benzylguanine, which binds methylguanine DNA methyltransferase; and alkylated ethenoguanine, which binds to alkyladenine DNA glycosylase.

Another class of ligands which bind cellular proteins are amino acid analogs which bind proteins involved in amino acid metabolism or protein translation. Examples of such molecules include borrelidin, which binds histidyl tRNA synthetase, and histidinol, which binds histidyl tRNA synthetase, as well as the L-glutamine antagonists acivicin, DON and azaserine; the aspartic acid analogs PALA and L-alanosine, as well as compounds such as buthionine sulfoximine and difluoromethylornithine.

Any of the enumerated ligands, as well as others, could serve as the starting point for generating a library with the composition: moiety 1 (fixed ligand) + moiety 2 (library), or the composition: moiety 1 (fixed ligand) + linker (fixed or library) + moiety 2 (library). Alternatively any of the ligands mentioned could be used as the basis for constructing a library of limited diversity in order to identify a more advantageous ligand for the selected cellular protein.

In another aspect of the invention a preferred size for moiety 1 is less than 1,500 Da, a still more preferred size is less than 1,200 Da, a still more preferred size is less than 900 Da and a most preferred size is less than 600 Da.

#### RNA Binding Moiety - Moiety 2

The RNA binding moiety is in general any moiety with desirable chemical and pharmaceutical properties which binds to a specific target RNA with high affinity. Such a moiety may be identified from a combinatorial small molecule library or a natural product library by a screening assay of the type described below.



A preferred moiety 2 is a molecule which can participate in hydrophobic interactions with RNA.

In another aspect of the invention a preferred size for moiety 2 is less than 1,500 Da, a still more preferred size is less than 1,200 Da, a still more preferred size is less than 900 Da and a most preferred size is less than 600 Da.

#### Linker Moiety

As indicated above, a linker moiety is optional. However, in specific instances the linker moiety will be an essential element of the small molecule library, and in particular may be important for bringing the target mRNA and protein together in optimal orientation and at an optimal distance. There may be one, two or more linkers joining moieties 1 and 2, depending on the structure of moieties 1 and 2 and the optimal geometry for the trimolecular complex. In preferred embodiments there is one linker. Examples of useful linker chemistries are provided, without limitation, in Figure 5.

Linker groups may be varied to achieve different orientations or different distances between moieties 1 and 2. For example, the linker group may include a planar aromatic ring system (see diagram) such as, for example, a benzene ring. Moieties 1 and 2 may be attached to the ring or rings in any of the possible orientations, some of which are indicated in the figure. Alternatively linkers may consist of hydrocarbon chains of various lengths and chemical compositions. Several exemplary hydrocarbon chains are shown in the figure, along with some of the possible points of attachment for moieties 1 and 2. Another possible linker moiety is a substituted sugar or polysaccharide. Exemplary sugars are shown in the figure, along with some possible sites for attaching moieties 1 and 2. Another possible linker moiety is a beta lactam or cepham ring. Examples of such rings are shown in the figure, along with some possible sites for attaching moieties 1 and 2. Yet another possible linker is an amino acid, an amino acid analog or a short chain of amino acid analogs. One skilled in the art will recognize that the exemplary linker structures provided are not comprehensive, and that additional variations on the structures shown, as well as the use of other organic chemical groups of similar size may be useful to achieve other orientations or distances between moieties 1 and 2.

It may be useful to join certain moieties 1 and 2 at more than one site; that is, it may be useful in some instances to use more than one linker. In such cases either both or all linkers may be of the same type, or one linker may be of one type and the second or more linkers may be of another type or types. Linker types include not only those listed above but other structures that will be evident to one skilled in the art.

Although the linker moiety is intended to join moieties 1 and 2, another aspect of this invention is the participation of the linker in contacts with either moiety 1 or moiety 2. For example, sugars are known to be capable of binding RNA. Thus, in some cases, contacts between a substituted sugar and either moieties 1 or 2 may contribute to the affinity or stability of the trimolecular complex.

In another aspect of the invention a preferred size for moiety 2 is less than 700 Da, a still more preferred size is less than 500 Da, a still more preferred size is less than 400 Da and a most preferred size is less than 300 Da.

#### 15 Screening Assays

Screening the small molecule libraries of this invention can take place in several ways. For example, first, one can hold constant the composition of moiety 1 and a linker and create a library which varies only at moiety 2. Alternatively just moiety 1 is fixed and the library is made by varying the linker and moiety 2. These same two approaches can be taken with moiety 1 and 2 reversed. Once a ligand is selected at one moiety, for example moiety 2, it may be useful to create a constrained library with moiety 2 and the linker fixed and moiety 1 only varying to a limited degree, so as to explore the effects of the selected moiety 2 on the binding of moiety 1 (even if moiety 1 has been previously selected). Similar experiments can be performed in which both the linker and moiety 1 are varied. The same libraries and screens can be performed with moieties 1 and 2 reversed.

In one aspect of the invention the linker is the preferred component of the library to vary having selected a moiety 1 and a moiety 2. The reason is that the apposition of protein and RNA surfaces in the optimal spatial relationship will be crucial to achieving the maximal possible binding affinity. This optimal relationship will entail maximizing attractive electrostatic interactions while allowing for maximal hydrophobic contacts by the protein. One skilled in the art will recognize that the permutations of linker composition and structure enumerated above are only a

fraction of the structures that could be used to bring moieties 1 and 2 into optimal alignment.

Once a library is made it can be screened in several ways. First, a library can be screened for binding to its intended target. For example, a library in which moiety 1 varies can be screened for binding to the target protein, or a library in which moiety 2 varies can be screened for binding to the target RNA. One convenient assay for such screens is the scintillation proximity assay (SPA). In this assay a radioactive isotope and a scintillant are brought into proximity by a specific binding interaction, allowing the radioemitter to excite the scintillant, which is then detected with sensitive detectors. This arrangement obviates the need to separate free from bound components, and allows real time monitoring of the binding interaction. SPA can be carried out more rapidly than most other methods used to monitor binding reactions, and is also relatively inexpensive. The methodology also lends itself to automation. (For a review of the SPA see: Udenfriend, S., Gerber, L. and Nelson, N. (1987) Scintillation proximity assay: a sensitive and continuous isotopic method for monitoring ligand/receptor and antigen/antibody interactions. *Anal Biochem* 161(2):494-500. For an up to date review of automated high throughput screening see: Fernandes, P.B. (1998) Technological advances in high-throughput screening. *Curr Opin Chem Biol* 2(5):597-603.)

An alternative assay format is to screen a library in the presence of both the target RNA and the target protein. This allows the affinity of the final complex to be assessed.

Another useful assay is to screen a library in the presence of both the target RNA and the target protein present in a cell extract which contains substantially all the other cellular constituents which might interact with the target RNA, the target protein or the small molecule.

A final important assay is to screen a library in the presence of cells which express both the target RNA and the target protein, and where there is an assay for abrogation of RNA function.

In addition to the provision of particularly advantageous molecules which can be used to inhibit an activity or activities of specific cellular RNA molecules, the invention is also concerned with the identification and use of targets within and

RNA sequence, which are particularly useful for allele specific inhibition of an activity of RNA corresponding to a particular gene. In general, these targets are related to changes in secondary structure of an RNA species which occur as a result of the presence of one or more sequence polymorphisms in an RNA (i.e., differences in secondary structure between allelic forms of an RNA, especially an mRNA or a pre-mRNA. Commonly, the sequence polymorphisms are Single Nucleotide Polymorphisms (SNPs).

SNPs are single base-pair substitutions that occur within and outside genes (1-3). Due to the growing recognition that SNPs can be used as genetic markers for identifying genes that contribute to disease susceptibility or resistance (4, 5), or drug response (6, 7), SNP discovery has been markedly accelerated over the past two years. Within intragenic regions composed of introns and exons, the average frequency of SNP occurrence is approximately 1 in every 500 base pairs, with introns more highly variable than exons (2). Within exons, SNPs occur in both protein-coding regions and the 5'- or 3'-UTR regions, and those in coding regions do not always cause amino acid variations.

Genes containing one or more SNPs can give rise to two or more allelic forms of mRNAs. SNPs associated with different allelic forms of mRNA may account for allelic variation in the interaction of mRNA with cellular components involved in its synthesis, maturation, transport, translation or degradation. It has been documented that a number of single base-pair substitutions alter or create essential sequence elements for splicing, processing or translation of human mRNA (1). These SNPs exhibit phenotypic consequences including altered length and/or steady-state level of cytoplasmic mRNA. On the other hand, SNPs that do not affect RNA consensus and protein sequences have not been analyzed in detail. It is conceivable that such SNPs could also lead to variant phenotypic effects, most likely through non-consensus-dependent mechanisms.

It has been shown by a growing body of evidence that the folding of mRNA influences a diverse range of biological events such as mRNA splicing (8, 9) and

- processing (10-12), and translational control (13-16) and regulation (17-19). Since the structure of mRNA is determined by its nucleotide sequence and its environment, we were interested to examine whether the folding of mRNA could be influenced by the presence of SNPs. In this report, we describe the analysis of two
- 5 previously identified SNPs in coding regions (V. P. Stanton, Jr., unpublished results): a U/C transition at nucleotide 1013 of human alanyl tRNA synthetase (AARS, GenBank Accession # D32050) and a U/C transition at nucleotide 1674 of human replication protein A, 70 kDa subunit (RPA70, GenBank Accession # M63488). Both SNPs do not affect amino acid sequences of the respective proteins.
- 10 An initial screen of lymphoblasts from 36 unrelated individuals (V. P. Stanton, Jr., unpublished results) revealed that heterozygotes that carry both the U- and the C- alleles and homozygotes that carry only one of the two alleles occur at frequencies of 57% and 43%, respectively, for AARS mRNA; and 31% and 69%, respectively, for RPA70 mRNA. Using structural mapping and structure-based targeting
- 15 strategies, we show that the SNPs have profound effects on the structural folds of the mRNAs. Such findings of the contribution of common genetic variation to structural diversity of mRNA have not been described previously. These results suggest that phenotypic consequences of SNPs could arise from mechanisms that involve allele-specific structural motifs in mRNA.
- 20 The targets identified as corresponding to sequence polymorphisms are, in particular, useful for targeting (including screening and inhibitory use) with the complex-forming molecules described herein. However, the use of those targets is not limited to targeting with such molecules, but rather can be targeted using any type of allele specific inhibitors (see e.g., Housman, *supra*).
- 25 Likewise, the complex-forming molecules described herein are useful for targeting to the targets corresponding to RNA secondary structure, but their use is not limited to those targets. Instead, such molecules can be used for any target in an RNA for which specific binding molecules are developed.

Thus, the present invention provides targets and inhibitory molecules which are broadly applicable for treatment of diseases in which inhibition of a particular RNA would be beneficial, e.g., autosomal dominant diseases, proliferative disorders such as cancer, and diseases where reduction of expression of a gene would be  
5 helpful.

As understood by those skilled in the art, inhibition of an RNA or treatment of a disease in a patient can be performed in many different ways, depending in part on the particular characteristics of the disease and of the molecule to be delivered or administered. Guidance on preparation and administration of therapeutic  
10 compounds and pharmaceutical compositions is provided in the following section.

#### Preparation & Administration of Pharmaceutical Compositions

For the treatment of patients suffering from a disease or other condition in which the inhibition or other modulation of an RNA is desired, the preferred method  
15 of preparation or administration will generally vary depending on the type of compound to be used. Thus, those skilled in the art will understand that administration methods as known in the art will also be appropriate for the compounds of this invention.

The particular compound that exhibits activity on a target RNA can be  
20 administered to a patient either by itself, or in pharmaceutical compositions where it is mixed with suitable carriers or excipient(s). In treating a patient exhibiting a disorder or condition of interest, a therapeutically effective amount of an agent or agents is administered. A therapeutically effective dose refers to that amount of the compound that results in amelioration of one or more symptoms or a prolongation of  
25 survival in a patient.

Toxicity and therapeutic efficacy of such compounds can be determined by standard pharmaceutical procedures in cell cultures and/or experimental animals, e.g., for determining the LD<sub>50</sub> (the dose lethal to 50% of the population) and the ED<sub>50</sub> (the dose therapeutically effective in 50% of the population). The dose ratio  
30 between toxic and therapeutic effects is the therapeutic index and it can be expressed as the ratio LD<sub>50</sub>/ED<sub>50</sub>. Compounds which exhibit large therapeutic indices are

preferred. The data obtained from these cell culture assays and animal studies can be used in formulating a range of dosage for use in humans. The dosage of such compounds lies preferably within a range of circulating concentrations that include the ED<sub>50</sub> with little or no toxicity. The dosage may vary within this range depending upon the dosage form employed and the route of administration utilized.

For any compound used in the method of the invention, the therapeutically effective dose can be estimated initially from cell culture assays. Such information can be used to more accurately determine useful doses in humans. Levels in plasma may be measured, for example, by HPLC or other means appropriate for detection of the particular compound.

The exact formulation, route of administration and dosage can be chosen by the individual physician in view of the patient's condition (see *e.g.* Fingl et. al., in The Pharmacological Basis of Therapeutics, 1975, Ch. 1 p.1).

It should be noted that the attending physician would know how to and when to terminate, interrupt, or adjust administration due to toxicity, or to organ dysfunctions. Conversely, the attending physician would also know to adjust treatment to higher levels if the clinical response were not adequate (precluding toxicity). The magnitude of an administered dose in the management of the disorder of interest will vary with the severity of the condition to be treated and to the route of administration. The severity of the condition may, for example, be evaluated, in part, by standard prognostic evaluation methods. Further, the dose and perhaps dose frequency, will also vary according to the age, body weight, and response of the individual patient. A program comparable to that discussed above also may be used in veterinary medicine.

Depending on the specific conditions being treated and the targeting method selected, such agents may be formulated and administered systemically or locally. Techniques for formulation and administration may be found in Alfonso and Gennaro (1995). Suitable routes may include, for example, oral, rectal, transdermal, vaginal, transmucosal, or intestinal administration; parenteral delivery, including intramuscular, subcutaneous, or intramedullary injections, as well as intrathecal, intravenous, or intraperitoneal injections.

For injection, the agents of the invention may be formulated in aqueous solutions, preferably in physiologically compatible buffers such as Hanks' solution,

Ringer's solution, or physiological saline buffer. For transmucosal administration, penetrants appropriate to the barrier to be permeated are used in the formulation. Such penetrants are generally known in the art.

5 Use of pharmaceutically acceptable carriers to formulate the compounds herein disclosed for the practice of the invention into dosages suitable for systemic administration is within the scope of the invention. With proper choice of carrier and suitable manufacturing practice, the compositions of the present invention, in particular those formulated as solutions, may be administered parenterally, such as by intravenous injection. Appropriate compounds can be formulated readily using  
10 pharmaceutically acceptable carriers well known in the art into dosages suitable for oral administration. Such carriers enable the compounds of the invention to be formulated as tablets, pills, capsules, liquids, gels, syrups, slurries, suspensions and the like, for oral ingestion by a patient to be treated.

Agents intended to be administered intracellularly may be administered using  
15 techniques well known to those of ordinary skill in the art. For example, such agents may be encapsulated into liposomes, then administered as described above. Liposomes are spherical lipid bilayers with aqueous interiors. All molecules present in an aqueous solution at the time of liposome formation are incorporated into the aqueous interior. The liposomal contents are both protected from the external  
20 microenvironment and, because liposomes fuse with cell membranes, are efficiently delivered into the cell cytoplasm. Additionally, due to their hydrophobicity, small organic molecules may be directly administered intracellularly.

Pharmaceutical compositions suitable for use in the present invention include compositions wherein the active ingredients are contained in an effective amount to  
25 achieve the intended purpose. Determination of the effective amounts is well within the capability of those skilled in the art, especially in light of the detailed disclosure provided herein.

In addition to the active ingredients, these pharmaceutical compositions may contain suitable pharmaceutically acceptable carriers comprising excipients and  
30 auxiliaries which facilitate processing of the active compounds into preparations which can be used pharmaceutically. The preparations formulated for oral administration may be in the form of tablets, dragees, capsules, or solutions,



including those formulated for delayed release or only to be released when the pharmaceutical reaches the small or large intestine.

The pharmaceutical compositions of the present invention may be manufactured in a manner that is itself known, e.g., by means of conventional  
5 mixing, dissolving, granulating, dragee-making, levitating, emulsifying, encapsulating, entrapping or lyophilizing processes.

Pharmaceutical formulations for parenteral administration include aqueous solutions of the active compounds in water-soluble form. Additionally, suspensions of the active compounds may be prepared as appropriate oily injection suspensions.  
10 Suitable lipophilic solvents or vehicles include fatty oils such as sesame oil, or synthetic fatty acid esters, such as ethyl oleate or triglycerides, or liposomes. Aqueous injection suspensions may contain substances which increase the viscosity of the suspension, such as sodium carboxymethyl cellulose, sorbitol, or dextran. Optionally, the suspension may also contain suitable stabilizers or agents which  
15 increase the solubility of the compounds to allow for the preparation of highly concentrated solutions.

Pharmaceutical preparations for oral use can be obtained by combining the active compounds with solid excipient, optionally grinding a resulting mixture, and processing the mixture of granules, after adding suitable auxiliaries, if desired, to  
20 obtain tablets or dragee cores. Suitable excipients are, in particular, fillers such as sugars, including lactose, sucrose, mannitol, or sorbitol; cellulose preparations such as, for example, maize starch, wheat starch, rice starch, potato starch, gelatin, gum tragacanth, methyl cellulose, hydroxypropylmethyl-cellulose, sodium carboxymethylcellulose, and/or polyvinylpyrrolidone (PVP). If desired,  
25 disintegrating agents may be added, such as the cross-linked polyvinyl pyrrolidone, agar, or alginic acid or a salt thereof such as sodium alginate.

Dragee cores are provided with suitable coatings. For this purpose, concentrated sugar solutions may be used, which may optionally contain gum arabic, talc, polyvinyl pyrrolidone, carbopol gel, polyethylene glycol, and/or titanium  
30 dioxide, lacquer solutions, and suitable organic solvents or solvent mixtures. Dyestuffs or pigments may be added to the tablets or dragee coatings for identification or to characterize different combinations of active compound doses.

Pharmaceutical preparations which can be used orally include push-fit capsules made of gelatin, as well as soft, sealed capsules made of gelatin and a plasticizer, such as glycerol or sorbitol. The push-fit capsules can contain the active ingredients in admixture with filler such as lactose, binders such as starches, and/or lubricants such as talc or magnesium stearate and, optionally, stabilizers. In soft capsules, the active compounds may be dissolved or suspended in suitable liquids, such as fatty oils, liquid paraffin, or liquid polyethylene glycols. In addition, stabilizers may be added.

10

### Examples

As described in the Examples below, we have demonstrated that a single nucleotide polymorphism can cause dramatic structural variation in allelic forms of human mRNA. The structural differences occur both at and in regions flanking the SNP. The structural differences allow allele-specific cleavage of mRNA with oligonucleotides complementary to a site of maximum differential structural accessibility that is independent of single base discrimination at the polymorphic site. These findings are of particular interest in several respects. First, the results illustrate how SNPs can affect mRNA structure, and suggest mRNA-structure-dependent mechanisms by which SNPs can cause allele-specific biological consequences. Further elucidation of the contribution of common genetic variation to structural and functional diversity of mRNA will provide insight into fundamental mechanisms of human phenotypic variation, and facilitate studies of disease susceptibility and drug response (4-7). Second, the results described here caution researchers to consider the effect of genetic variation in their characterization of RNA as a therapeutic target. Lastly, our novel strategy of allelic structure-based mRNA targeting may be applied to therapeutic allele-specific RNA down-regulation, preferably in the 5'- and 3'-UTR regions, using other types of specific

RNA binders such as small molecule inhibitors (30-32) to allow enhanced cellular uptake and improved allele discrimination.

### Example 1

5           ***In vitro* Synthesis and Purification of mRNA.** Human cDNAs for PCR synthesis of transcription templates were prepared from total RNA of Epstein-Barr virus (EBV) transformed lymphoblasts (Coriell Institute) or tumor cell line NCI-H292 (American Type Culture collection). The cDNAs were genotyped for SNPs in human AARS and RPA70 either by sequencing or by restriction fragment length  
10 polymorphism (RFLP) analysis. A second round cDNA sequencing was performed to confirm the nucleotide sequence of AARS and RPA70 cDNAs. Fragments of human mRNA alleles (113-1000 mers) varying in a single base were obtained by *in vitro* transcription from PCR derived DNA templates using Megashortscript or Megascript T7 kits (Ambion). Crude transcripts were either purified on an 8%  
15 denaturing polyacrylamide gel or on a G-50 sephadex spin column (Boehringer Mannheim), and the purified mRNA was subsequently dephosphorylated using calf intestinal alkaline phosphatase (Pharmacia) and 5'-end-labeled with  $^{32}\text{P}$  using [ $\gamma$ - $^{32}\text{P}$ ]ATP (DuPont NEN) and T4 polynucleotide kinase (Pharmacia).

### 20   Example 2

**Enzymatic Mapping of Allelic mRNA Structures.** Partial digestion of 1 pmol of 5'- $^{32}\text{P}$ -labeled allelic mRNA by nuclease S1 or RNase T1 (Pharmacia) was carried out at 37°C for 5 min in 10  $\mu\text{L}$  buffer containing 10 mM Tris•HCl, pH 7.5, 100 mM KCl, 5 mM  $\text{MgCl}_2$ , and 5  $\mu\text{g}$  phenol-extracted glycogen. Prior to the  
25 addition of S1 or T1, the RNA was renatured in the assaying buffer by heating for 45 sec at 85°C, then cooling for 5 min at room temperature followed by 10 min at 37°C. The digestion products were fractionated on either a 4, 6 or an 8% denaturing polyacrylamide gel, and the cleavage patterns visualized by autoradiography. RNase

T1 digestion at 55°C and alkaline hydrolysis at 85°C were done to generate sequence ladders for cleavage site identification. RNase T1 digestion at 55°C was done for 1 min in 15 µL buffer containing 25 mM sodium acetate, pH 5.2, 25 mM KCl, and 3 µg yeast tRNA. Alkaline hydrolysis was done for 15 min in 15 µL buffer  
5 containing 50 mM sodium bicarbonate, pH 9.2, 1 mM EDTA, and 10 µg phenol-extracted glycogen.

### Example 3

#### ***E. coli* RNase H Digestion Assay. Phosphorothioate**

10 oligodeoxyribonucleotides (PS-oligos) were employed in our studies because of their enhanced stability against nucleases (20). PS-oligos were chemically synthesized and desalted on C-18 cartridges by Synthetic Genetics. One pmol of 5'-<sup>32</sup>P-labeled mRNA was renatured in 12 µL RNase H buffer (20 mM Tris•HCl, pH 7.5, 10 mM KCl, 5 mM MgCl<sub>2</sub>, 0.1 mM dithiothreitol (DTT), 5% glycerol, and 10  
15 µg phenol-extracted glycogen) by heating for 1 min at 85°C, then cooling for 5 min at room temperature followed by 10 min at 37°C. *E. coli* RNase H (0.6 units, Pharmacia) was then added to the renatured mRNA and incubated for 5 min at 37°C. PS-oligos (5 pmol in RNase H buffer) were subsequently added, and the reaction mixture (15 µL total) incubated for 20 min at 37°C. The digestion products were  
20 fractionated on a 4% denaturing polyacrylamide gel, and the cleavage patterns visualized by autoradiography. RNA levels were quantified using a phosphorimager (Fujifilm Model FLA-2000).

### Example 4

25 **Oligonucleotide-directed Cleavage of Endogenous RPA70 mRNA in Human Cell Extracts.** Human tumor cell lines HeLa and Calu-1 (American Type Culture collection) were genotyped for SNPs in RPA70 cDNA by RFLP analysis and by sequencing. The genotyping results showed that HeLa and Calu-1 express

only the U- or the C-base at the 1674U/C polymorphism, respectively. HeLa cells were cultured in monolayers in minimum essential medium (Gibco BRL), and Calu-1 cells were cultured in monolayers in McCoy's 5A medium (Sigma). Both media were supplemented with 10% heat inactivated fetal bovine serum (JRH Biosciences), 100 units/mL penicillin-100 µg/mL streptomycin (Gibco BRL), and 2 mM L-glutamine (Gibco BRL). Both cell lines were grown under 5%CO<sub>2</sub>/95% air in a humidified incubator at 37°C. For preparation of cell extracts, the cells were grown to 80-90% confluence, trypsinized, and washed twice with the complete medium as specified above and twice with phosphate buffered saline (Gibco BRL).

10 Approximately 5x10<sup>7</sup> Cells were lysed in a 15 mL tissue grinder with a Teflon pestle (Wheaton) in the presence of 1.5 mL lysis buffer (20 mM Tris•HCl, pH 7.5, 420 mM NaCl, 2 mM MgCl<sub>2</sub>, 0.2 mM EDTA, 1 mM DTT, 0.5 mM phenylmethyl sulfonylfluoride (PMSF), and 25% glycerol). The sodium salt concentration was chosen to facilitate the disruption of the nuclear membrane to release RNase H from

15 nuclei (21). Up to 200 strokes were needed to completely lyse the cells, as checked using a hemacytometer. The cell lysate was centrifuged at 14,000 rpm for 10 min at 4°C, and cell extracts were obtained by transferring supernatant to clean microcentrifuge tubes and freezing immediately at -80°C. Total protein concentration of cell extracts was determined using the Bradford assay (Bio-Rad).

20 For oligodeoxynucleotide-directed cleavage of endogenous mRNA reactions, cell extracts were diluted to a total protein concentration of 3 mg/mL with the lysis buffer. After the addition of a 10X PS-oligo solution to the diluted cell extracts, the reaction mixture was incubated for 40 min at 37°C. The level of intact RPA70 mRNA was determined by total RNA isolation and Northern blot hybridization

25 using procedures described previously (22, 23), and followed by phosphorimager analysis. Northern probes specific for RPA70 mRNA were synthesized by random primed [ $\alpha$ -<sup>32</sup>P]dCTP-labeling of a cDNA probe corresponding to nucleotides 1519-2080 of human RPA70 mRNA. To account for variability in the amount of total

RNA loaded into individual gel wells for Northern analysis, the level of  
glyceraldehyde 3-phosphate dehydrogenase (GAPDH) mRNA was also measured by  
first stripping the RPA70 mRNA probe, and then reprobing the same Northern blot  
with a random primed [ $\alpha$ - $^{32}$ P]dCTP-labeled cDNA probe corresponding to a 600  
5 nucleotide sequence of human GAPDH (Stratagene).

#### Example 5

**Characterization of SNP-containing mRNA Structures.** Two SNPs in the  
coding regions of two human mRNAs were analyzed in this study: a U/C transition  
10 at nucleotide 1013 of human alanyl tRNA synthetase (AARS, GenBank Accession #  
D32050)) and a U/C transition at nucleotide 1674 of human replication protein A, 70  
kDa subunit (RPA70, GenBank Accession # M63488)). To investigate effects of  
these SNPs on mRNA structure, we first synthesized both allelic SNP-containing  
fragments of the two mRNAs by *in vitro* transcription and then performed mRNA  
15 structural mapping using structure-specific enzymes RNase T1 and/or nuclease S1.

Nuclease S1 cleaves both DNA and RNA in single-stranded regions (24, 25).  
It is a useful structural probe for identifying large unpaired accessible regions (> 4  
nucleotides) in RNA. Fig. 1a shows nuclease S1 mapping of a 113-nucleotide  
fragment of AARS mRNA (nucleotides 951-1063). Differences in S1 cleavage  
20 patterns were observed between the U- and the C-alleles. Strong cleavages centered  
at nucleotide 999 of the U-allele revealed the presence of a single-stranded region  
approximately 14 nucleotides upstream of the SNP. The majority of the species of  
the C-allele lack such a single-stranded region, as shown by the absence of strong  
cleavage bands in the same region. Allelic differences in S1 accessibility were also  
25 observed at several other sites including regions 980 and 1032 (Fig. 1a). At higher  
S1 concentrations both alleles exhibited similar cleavage patterns at sites 980 and  
1032, suggesting that the stronger cleavage intensities at these sites in the C-allele  
may be due partly to the absence of a large unpaired region at position 999.

Structural mapping by RNase T1 (data not shown), which identifies unpaired guanosine residues (25), corroborated the finding of substantial allelic structural differences within  $\pm 14$  nucleotides of the SNP. The enzymatic mapping experiments were also done on a 1000-nucleotide fragment (nucleotides 529-1528) of the AARS mRNA. Differential S1 digestion patterns of the two alleles identical to those at sites 980, 999 and 1032 in Fig. 1a were observed with the 1000-mer mRNA sequence (data not shown).

Pronounced allelic structural differences caused by a single nucleotide variation in the mRNA sequence were also detected in a 544-nucleotide fragment of RPA70 mRNA (Fig. 1b). The fragment, consisting of nucleotides 1378-1921, was centered about the 1674U/C polymorphism. Intense S1 cleavages were detected in the U-allele around nucleotide 1656, indicating the presence of a single-stranded region approximately 18 nucleotides upstream of the SNP. In contrast, no cleavage above background intensity was detected at the same site of the C-allele. Differential S1 cleavage between the two alleles was also observed at nucleotide 1664 where the U-allele was more weakly cleaved than the C-allele. These data thus defined a differential-structure motif between the two allelic forms of RPA70 mRNA, with a maximum allelic difference in S1 accessibility in the region of nucleotide 1656. In addition, the structural mapping data on both the RPA70 and the AARS mRNAs revealed that the polymorphic sites were relatively inaccessible by single-strand-specific nuclease S1. Proposed secondary structural models of the polymorphic regions of the pairs of mRNA alleles are shown in Fig. 2.

Since the SNP-dependent allelic structural differences described above were identified using mRNA fragments in a purified system, it is critical to examine whether our findings of allele-specific structural features exist in the context of full-length mRNA in a protein-rich environment mimicking the physiological conditions in cells. To address this issue, we used a structure-based mRNA targeting strategy to monitor allelic structural accessibility of RPA70 mRNA in two different assay

systems: a purified system containing mRNA fragments and a cell-mimicking system containing endogenous human RPA70 mRNA. We designed a series of phosphorothioate oligodeoxyribonucleotides (PS-oligos) complementary to the 1656 region of RPA70 mRNA that contains the maximum allelic difference in structural accessibility as identified by S1 mapping. The target site at the region of 1656 was chosen to span 20 or 15 nucleotides that do not include the 1674U/C polymorphism. Thus, the target site was identical in nucleotide sequence between the U- and C-alleles, and was predicted based on S1 mapping results to be accessible for PS-oligo binding in the U-allele, and inaccessible in the C-allele. Exogenous or endogenous RNase H, which cleaves RNA in RNA•DNA hybrids (26), was used to monitor the targeting efficiencies of PS-oligos for the two alleles of RPA70 mRNA.

#### Example 6

**Allele-specific Cleavage of RPA70 mRNA in a Purified System.** We first used an *in vitro* assay with *E. coli* RNase H and the *in vitro* transcribed 544-mer RPA70 mRNA fragments to examine the efficiency and allele specificity of structure-based targeting as compared to directly targeting the 1674U/C polymorphism. Fig. 3a shows nucleotide sequences of the PS-oligos designed to target the RPA70 polymorphism (1, U-allele specific; 2, C-allele specific) or the site of maximum differential structural accessibility in the 1656 region (3-6). SNP-targeting oligos 1 and 2 were previously optimized for allele discrimination in a cell transfection assay (J. P. Bacion, unpublished results). Each of the SNP-targeting oligos is perfectly matched only with one of the two alleles; it is singly mismatched with the other allele. Single base mismatches formed between the SNP-targeting oligos and their respective non-targeting alleles contribute to the allele discrimination observed previously. Structure-targeting oligos 3 and 6 match perfectly with both alleles, and were designed to hybridize maximally with the single-stranded 1656 region of the U-allele, while avoiding the 1664 region which is



slightly accessible in both alleles (Fig. 1b). Four and six additional nucleotides were added to the 5'-end of oligo 3 to produce oligos 4 and 5, respectively, to facilitate folding into hairpin structures for enhanced allele discrimination (27).

Results of oligo-directed, site-specific cleavage of the 544-mer U- and C- alleles by *E. coli* RNase H are shown in Fig. 3b-c. As predicted, the U-allele that was more sensitive to S1 cleavage than the C-allele in the 1656 region was over 10-fold more efficiently cleaved by *E. coli* RNase H in the presence of structure-targeting PS-oligos 3-6. Also as expected, the cleavage efficiencies with PS-oligos 1 and 2 that target the SNP at position 1674 were greater for their respective matched alleles than for the mismatched alleles. Moreover, the oligos targeting the polymorphic site were much less effective in inducing target cleavage than the oligos targeting the single-stranded-structure site of the U-allele: an approximately 14- to 25-fold reduction in cleavage efficiency was observed. This is consistent with the polymorphic site being less accessible than the single-stranded 1656 region in the U-allele, as identified by S1 mapping.

Oligos 4 and 5 with predicted hairpin structures exhibited a 23% or 36% reduction, respectively, in the cleavage of both alleles as compared to their parent oligo 3. Therefore, no enhancement in allele discrimination was obtained with oligos 4 and 5 under the experimental conditions.

#### Example 7

##### **Allele-specific Cleavage of Endogenous RPA70 mRNA in Human Cell**

**Extracts.** We next performed oligo-directed cleavage of the full-length, endogenous RPA70 mRNA in whole cell extracts prepared from two genotyped human cell lines, HeLa (1674U) and Calu-1 (1674C). Cell lysis conditions were chosen to allow the disruption of both the plasma and the nuclear membranes in the absence of denaturants deleterious to the integrity of cellular proteins and nucleic acids. In order to evaluate variations between the two different cell types, we introduced a non-

allele-specific, 20-mer PS-oligo 7 to the assay. Oligo 7 is complementary to the 2230-2249 sequence region of the 3'-UTR of human RPA70 mRNA; it was previously shown to target the 1674U- and 1674C-alleles with equally high efficiency in a cell transfection assay (J. P. Basilion, unpublished results).

5        Fig. 4 compares the extent of site-specific cleavage of the 1674U- and 1674C-alleles of the endogenous human RPA70 mRNA in the presence of 100, 300 and 600 nM of either U- or C-allele-specific oligos 1, 2, 3 and 6, or oligo 7 that targets both alleles. Both the full-length and one or two cleavage products were detected by Northern blot hybridization using [ $\alpha$ - $^{32}$ P]dCTP-labeled cDNA probes  
10    complementary to nucleotides 1519-2080 of RPA70 mRNA. Since no *E. coli* RNase H was added to the reaction mixture, the site-specific cleavage of the mRNA is attributable to the presence of endogenous human RNase H in the cell extracts. Quantitative analysis of the extent of mRNA cleavage was done by integrating the full-length mRNA signal instead of the cleavage products. The latter did not  
15    hybridize with probes to the same extent because of probe design and were also likely more susceptible to inhomogeneous degradation by RNases in the cell extracts. The variation in sample loading was accounted for by probing a non-targeted message, glyceraldehyde 3-phosphate dehydrogenase (GAPDH), in the same Northern blots.

20        The two cell types responded similarly to the addition of control oligo 7 over a concentration range of 100 to 600 nM (Fig. 4). In contrast, oligos 1, 2, 3 and 6 displayed various degrees of targeting efficiency in an allele-specific pattern similar qualitatively to the results obtained in the purified system. In the presence of 300 nM of 20-mer 1 or 18-mer 2 targeting the 1674U/C polymorphic site, the majority of  
25    the matched allele remained uncleaved, and the matched allele was equally or slightly more cleaved than the singly mismatched allele (Fig. 4a). These results can be explained by the inaccessibility of the 1674U/C polymorphic site as identified by *in vitro* structural mapping, and by the predicted small difference in  $\Delta G^\circ$  of duplex

formation (ca. 1-2 kcal mol<sup>-1</sup>) (28, 29) between the matched and singly mismatched heteroduplexes. On the other hand, in the presence of 300 nM 20-mer 3 that targets the single-stranded structural motif of the U-allele, only 15% of the U-allele remained uncleaved, while more than 90% of the non-target C-allele was intact (Fig. 4b). Such high efficiency and specificity of U-allele targeting by 3 is consistent with an accessible single-stranded structure in the 1656 region of the U-allele and an inaccessible structure in the same region of the C-allele (see Figs. 1b, 2b). Based on the data in Fig. 4, we conclude that under the experimental conditions, the allele discrimination obtained by targeting the different allelic structures of RPA70 mRNA with 20-mer 3 is two- to sixfold greater than that obtained by directly targeting the polymorphism with 20-mer 1 when the percentage of intact mRNA is evaluated. The 15-mer oligo 6 also showed better allele discrimination than the SNP-targeting oligos 1 and 2 at all three oligo concentrations, albeit less prominent than that of 20-mer 3.

It is important to note that the minor allele discrimination observed with the SNP-targeting oligos stemmed from the difference in  $\Delta G^\circ$  of duplex formation between the matched and singly mismatched heteroduplexes, as well as the differential RNase H activities associated with the matched and singly mismatched duplexes. In contrast, complete complementarity of the structure-targeting oligos with their target site sequences is expected for both the target and non-target alleles, because these target sites do not contain the polymorphism. Thus, the greater allele discrimination by structure-targeting oligos reflects a significant difference in the target site accessibility between the two alleles. This accessibility difference could be caused by either different structural folds of the two alleles of endogenous RPA70 mRNA, or different contacts with cellular components, or both effects. The positive correlation we have observed between the results in the purified system and those in cell extracts strongly support the argument that the pronounced allele

discrimination obtained with the structure-targeting oligos is caused mainly by structural differences between the two endogenous RPA70 mRNA alleles.

- 5 1. Cooper, D. N., Krawczak, M. & Antonarakis, S. E. (1995) in *The Metabolic and Molecular Bases of Inherited Disease Vol I*, eds. Scriver, C. R., Beaudet, A. L., Sly, W. S. & Valle, D. (McGraw Hill, New York), pp. 259-291.
2. Nickerson, D. A., Taylor, S. L., Weiss, K. M., Clark, A. G., Hutchinson, R. G., Stengård, J., Salomaa, V., Vartiainen, E., Boerwinkle, E. & Sing, C. F. (1998)  
10 *Nature Genet.* **19**, 233-240.
3. Wang, D. G. *et al.* (1998) *Science* **280**, 1077-1082.
4. Lander, E. S. (1996) *Science* **274**, 536-539.
5. Collins, F. S., Guyer, M. S. & Chakravarti, A. (1997) *Science* **278**, 1580-1581.
6. Weber, W. W. (1997) *Pharmacogenetics* (Oxford University Press, New York).
- 15 7. Kley, P. W. & Vesell, E. S. (1998) *Science* **281**, 1820-1821.
8. Coleman, T. P. & Roesser, J. R. (1998) *Biochemistry* **37**, 15941-15950.
9. Côté, J. & Chabot, B. (1997) *RNA* **3**, 1248-1261.
10. van Gelder, C. W. G., Gunderson, S. I., Jansen, E. J. R., Boelens, W. C., Polycarpou-Schwarz, M., Mattaj, I. W. & van Venrooij, W. J. (1993) *EMBO J.*  
20 **12**, 5191-5200.
11. Allain, F. H.-T., Gubser, C. C., Howe, P. W. A., Nagai, K., Neuhaus, D. & Varani, G. (1996) *Nature* **380**, 646-650.
12. Vasserot, A. P., Schaufele, F. J. & Birnstiel, M. L. (1989) *Proc. Natl. Acad. Sci. USA* **86**, 4345-4349.
- 25 13. Pelletier, J. & Sonenberg, N. (1987) *Biochem. Cell Biol.* **65**, 576-581.
14. Wang, C., Sarnow, P. & Siddiqui, A. (1994) *J. Virol.* **68**, 7301-7307.
15. ten Dam, E. B., Pleij, C. W. A. & Bosch, L. (1990) *Virus Genes* **4**, 121-136.
16. Shen, L. X. & Tinoco, I., Jr. (1995) *J. Mol. Biol.* **247**, 963-978.

17. Hentze, M. W., Caughman, S. W., Rouault, T. A., Barriocanal, J. G., Dancis, A., Harford, J. B. & Klausner, R. D. (1987) *Science* **238**, 1570-1573.
18. Casey, J. L., Koeller, D. M., Ramin, V. C., Klausner, R. D. & Harford, J.B. (1989) *EMBO J.* **8**, 3693-3699.
- 5 19. Address, K. J., Basilion, J. P., Klausner, R. D., Rouault, T. A. & Pardi, A. (1997) *J. Mol. Biol.* **274**, 72-83.
20. Shaw, J.-P., Kent, K., Bird, J., Fishback, J. & Froehler, B. (1991) *Nucleic Acids Res.* **19**, 747-750.
21. Dignam, J. D., Lebovitz, R. M. & Roeder, R. G. (1983) *Nucleic Acids Res.* **11**, 1475-1489.
- 10 22. Peppel, K. & Baglioni, C. (1990) *BioTechniques* **9**, 711-713.
23. Brown, T. & Mackey, K. (1997) in *Current Protocols in Molecular Biology Vol. I*, eds. Ausubel, F. M. *et al.* (John Wiley & Sons), pp. 4.9.1-4.9.16.
24. Wurst, R. M., Vournakis, J. N. & Maxam, A. M. (1978) *Biochemistry* **17**, 4493-4499.
- 15 25. Ehresmann, C., Baudin, F., Mougel, M., Romby, P., Ebel, J.-P. & Ehresmann, B. (1987) *Nucleic Acids Res.* **15**, 9109-9128.
26. Hostomsky, Z., Hostomska, Z. & Matthews, D. A. (1993) in *Nucleases*, eds. Linn, S. M., Lloyd, R. S. & Roberts, R. J. (Cold Spring Harbor Laboratory, New York), pp. 341-376.
- 20 27. Tyagi, S., Bratu, D. P. & Kramer, F. R. (1998) *Nature Biotech.* **16**, 49-53.
28. Aboul-ela, F., Koh, D. & Tinoco, I., Jr. (1985) *Nucleic Acids Res.* **13**, 4811-4824.
29. Freier, S. M., Kierzek, R., Jaeger, J. A., Sugimoto, N., Caruthers, M. H., Neilson, T. & Turner, D. H. (1986) *Proc. Natl. Acad. Sci. USA* **83**, 9373-9377.
- 25 30. Werstuck, G. & Green, M. R. (1998) *Science* **282**, 296-298.

31. Mei, H.-Y., Cui, M., Heldsinger, A., Lemrow, S. M., Loo, J. A., Sannes-Lowery, K. A., Sharmeen, L. & Czarnik, A. W. (1998) *Biochemistry* 37, 14204-14212.
32. Hamy, F., Felder, E. R., Heizmann, G., Lazdins, J., Aboul-ela, F., Varani, G.,  
5 Karn, J. & Klimkait, T. (1997) *Proc. Natl. Acad. Sci. USA* 94, 3548-3553.

All patents and publications mentioned in the specification are indicative of the levels of skill of those skilled in the art to which the invention pertains. All  
10 references cited in this disclosure are incorporated by reference to the same extent as if each reference had been incorporated by reference in its entirety individually.

One skilled in the art would readily appreciate that the present invention is well adapted to carry out the objects and obtain the ends and advantages mentioned, as well as those inherent therein. The methods, variances, and compositions  
15 described herein as presently representative of preferred embodiments are exemplary and are not intended as limitations on the scope of the invention. Changes therein and other uses will occur to those skilled in the art, which are encompassed within the spirit of the invention, are defined by the scope of the claims.

It will be readily apparent to one skilled in the art that varying substitutions  
20 and modifications may be made to the invention disclosed herein without departing from the scope and spirit of the invention. For example, using other compounds, and/or methods of administration are all within the scope of the present invention. Thus, such additional embodiments are within the scope of the present invention and the following claims.

25 The invention illustratively described herein suitably may be practiced in the absence of any element or elements, limitation or limitations which is not specifically disclosed herein. Thus, for example, in each instance herein any of the terms "comprising", "consisting essentially of" and "consisting of" may be replaced with either of the other two terms. The terms and expressions which have been  
30 employed are used as terms of description and not of limitation, and there is no intention that in the use of such terms and expressions of excluding any equivalents of the features shown and described or portions thereof, but it is recognized that

various modifications are possible within the scope of the invention claimed. Thus, it should be understood that although the present invention has been specifically disclosed by preferred embodiments and optional features, modification and variation of the concepts herein disclosed may be resorted to by those skilled in the art, and that such modifications and variations are considered to be within the scope of this invention as defined by the appended claims.

In addition, where features or aspects of the invention are described in terms of Markush groups or other grouping of alternatives, those skilled in the art will recognize that the invention is also thereby described in terms of any individual member or subgroup of members of the Markush group or other group.

Thus, additional embodiments are within the scope of the invention and within the following claims.

CLAIMSWhat is claimed is:

1. A method for identifying a potential small molecule inhibitor which inhibits  
5 an activity of a cellular RNA by inducing formation of a complex with a said RNA  
and a cellular protein, wherein said potential small molecule inhibitor comprises a  
first moiety and a second moiety and a linker joining said first moiety and said  
second moiety, said method comprising:
  - a) identifying a said first moiety which binds to at least a portion of a  
10 selected cellular protein; and
  - b) identifying a said second moiety which binds to at least a fragment of a  
target cellular RNA;  
wherein a small molecule consisting essentially of said first moiety and said  
second moiety and said linker connecting said first and second moieties is a said  
15 potential small molecule inhibitor.
2. The method of claim 1, wherein said identifying a said first moiety  
comprises identifying a known small molecule ligand of said cellular protein.
- 20 3. The method of claim 2, further comprising  
providing a small molecule library containing compounds consisting  
essentially of said first moiety and said linker attached to variable second moieties;  
and  
screening said library to identify one or more molecules wherein said second  
25 moiety binds to said target RNA.
4. The method of claim 1, wherein said identifying a said first moiety  
comprises:
  - providing a small molecule library of compounds consisting essentially of a  
30 variable first moiety, a linker, and a fixed second moiety; and
  - screening compounds of said library to identify a compound which binds to  
at least a portion of said selected cellular protein.



5. The method of claim 4, wherein said identifying a said second moiety comprises  
providing a small molecule library consisting essentially of a first moiety which binds to said selected cellular protein, a linker, and a variable second moiety;  
5 and  
screening compounds of said library to identify a compound which binds to said target RNA.
6. The method of claim 2, wherein said selected cellular protein and its ligand  
10 are selected from the group consisting of adenosine triphosphate analogs and cellular ATPases; flavin adenine dinucleotide or FAD analogs; nicotinamide or nicotinamide analogs; folates or folate analogs and dihydrofolate reductase, thymidylate synthase or other abundant proteins which require folate as a cofactor; cyclosporin, FK506, rapamycin, coumermycin or their synthetic analogs and FK506 Binding Protein.
- 15 7. The method of claim 1 wherein two or more iterations of at least one of said identifications are performed to improve the characteristics of the small molecule.
8. The method of claim 1, wherein the protein constituent of said complex is a  
20 cellular protein that is present in the cellular compartment(s) in which the target RNA is present.
9. The method of claim 1, wherein the protein constituent of said complex is a protein with known RNA binding activity.
- 25 10. The method of claim 1, wherein the protein constituent of said complex is an RNase.
11. The method of claim 1, wherein the protein constituent of said complex is an  
30 RNase from the class RNaseH type 1, RNaseH type 2, and RNaseL.
12. The method of claim 1, wherein the protein constituent of said complex is an RNA helicase.

13. The method of claim 1, wherein the protein constituent of said complex is an DNA binding protein.
- 5 14. The method of claim 1, wherein the protein constituent of said complex has a two or more basic residues within 10 Angstroms of the small molecule binding site thereby providing favorable charge interactions with said target RNA.
- 15 15. The method of claim 1, wherein said small molecules do not exceed 4000 Daltons.
- 16 16. The method of claim 1, wherein said small molecules do not exceed 2000 Daltons
- 15 17. The method of claim 1, wherein said small molecules do not exceed 1000 Daltons
18. The method of claim 1, wherein the targeted cellular RNA is a pre-mRNA.
- 20 19. The method of claim 1, wherein the targeted cellular RNA is an mRNA.
20. The method of claim 1, wherein said targeted cellular RNA has a polymorphism that results in an altered secondary structure and the altered secondary structure is the target of the RNA binding moiety of said small molecule.
- 25 21. The method of claim 1, wherein said targeted cellular RNA is an RNA bearing a mutation that alters secondary structure of said RNA, or that exists on the same allele as another site of variation that alters the secondary structure of said RNA.
- 30 22. The method of claim 1, further comprising

providing a plurality of small molecules comprising a plurality of different said linkers and a first moiety identified in a), a second moiety identified in b), or both; and

5 screening said plurality of small molecules to identify a said potential small molecule inhibitor with advantageous binding or pharmacologic characteristics.

23. The method of claim 1, wherein said identifying a said second moiety comprises

10 providing a small molecule library containing compounds consisting essentially of a fixed said first moiety and said linker attached to variable second moieties; and

screening said library to identify one or more molecules wherein said second moiety binds to said target RNA.

15 24. The method of claim 23, wherein said identifying a said first moiety comprises:

providing a small molecule library of compounds consisting essentially of a variable first moiety, a linker, and a fixed second moiety identified in claim 23; and

20 screening compounds of said library to identify a compound which binds to said selected cellular protein or portion thereof.

25. A method for identifying an RNA target for an allele specific therapeutic small molecule comprising:

25 a) identifying at least one sequence variance in said RNA target in a population of interest:

b) determining whether the RNA secondary structure of any sequence variants identified differs between variant alleles;

30 wherein a difference in said RNA secondary structure is indicative that said sequence variance is a potential target for a said allele specific therapeutic small molecule.

26. The method of claim 25, wherein the sequence variance to be targeted occurs in a frequency range between 0.1:0.9 and 0.5:0.5 in a population of interest.
27. The method of claim 25, wherein the determination of said RNA secondary  
5 structure is performed using a nuclease selected from the group consisting of T1, T2, S1, U2, CL3, V1, A, PhyM, N.c. nuclease or RNase, or by chemical probing with a chemical selected from the group consisting of dimethylsulfate, diethylpyrocarbonate, CMCT, kethoxal, bisulfite, ethylnitrosourea, MPE-Fe(II), and Fe(II)-EDTA.
- 10 28. The method of claim 25, wherein said target RNA comprises an allele that, if reduced in abundance, would at least ameliorate a disease state.
29. The method of claim 25, wherein said target RNA comprises an allele  
15 associated with an autosomal dominant disease.
30. The method of claim 29, wherein said autosomal dominant disease is selected from the group consisting of Huntington's disease, cervical vertebral fusion, keratitis-ichthyosis-deafness syndrome, progressive external ophthalmoplegia, type  
20 3, progressive external ophthalmoplegia, type 2, spastic paraplegia 6, progressive external ophthalmoplegia, pituitary dwarfism due to isolated growth hormone deficiency, distal renal tubular acidosis, vitamin D-resistant rickets, scapuloilioperoneal atrophy with cardiopathy, spastic paraplegia 4, spastic paraplegia 3, type II osteopetrosis, lamellar ichthyosis, nonsyndromic sensorineural  
25 3 deafness, iridogoniodysgenesis, type 2, spinocerebellar ataxia 7, microcephaly, torsion dystonia 1, hereditary multi-infarct type dementia, pseudoxanthoma elasticum, autosomal dominant Lewy body in Parkinson disease, autosomal dominant nonsyndromic sensorineural 2 deafness, hypertelorism with esophageal abnormality and hypospadias, microcephaly with chorioretinopathy, diamond-blackfan anemia, hyperinsulinism, ectodermal dysplasia 3, nonsyndromic  
30 sensorineural 8 deafness, Larsen syndrome, hypoplastic local amelogenesis imperfecta 2, polycystic kidney disease 3, congenital nystagmus 2, Ehlers-Danlos

syndrome, type IV, mitochondrial DNA breakage syndrome secondary to nuclear mutation, and retinal cone dystrophy 2.

31. The method of claim 25, wherein said target RNA comprises an allele of an essential gene which undergoes loss of heterozyosity in a proliferative disorder.

32. The method of claim 25, wherein said allele specific small molecule comprises a small molecule inhibitor comprising a first moiety which binds to a selected cellular protein or a portion thereof; a second moiety which binds to a target cellular RNA or a fragment thereof, and a linker connecting said first moiety and said second moiety, wherein said small molecule inhibitor can bind to said selected cellular protein and to said target cellular RNA to form a protein-small molecule-RNA complex.

15

33. A method for identifying an allele specific RNA binding small molecule for a target RNA, comprising:

- a) identifying at least one sequence variance in said RNA target in a population of interest having secondary structure differences between variant alleles;
- b) screening the two RNA structures for a said sequence variance or variances for binding to or inhibition by a plurality of small molecules; and
- c) identifying a compound that preferentially binds to or inhibits one of said RNA structures, wherein said binding or inhibition is indicative that said compound is a said allele specific RNA binding small molecule.

25

34. The method of claim 33, wherein said plurality of small molecules are elements of a small molecule combinatorial library.

35. The method of claim 33, further comprising determining whether said allele specific RNA binding small molecule provides a cellular activity, comprising contacting cells producing said target RNA with said allele specific RNA binding small molecule; and

30

determining whether said small molecule produces a change in a cellular function.

36. The method of claim 35, wherein said cellular function is a decrease in  
5 expression of said target RNA.

37. The method of claim 33, wherein said target RNA is a pre-mRNA or an mRNA.

10 38. The method of claim 33, wherein said plurality of small molecules comprises a plurality of potential small molecule inhibitors each comprising a first moiety which may be the same or different and a second moiety which differs between said plurality of small molecules and a linker joining said first moiety and said second moiety, wherein said first moiety is selected to bind to a selected cellular protein;  
15 and said second moiety is selected to bind to a target cellular RNA, thereby forming a trimolecular complex.

39. The method of claim 38, wherein said first moiety is a known small molecule ligand of said cellular protein or an analog or derivative thereof.

20 40. The method of claim 39, wherein said selected cellular protein and its ligand are selected from the group consisting of adenosine triphosphate analogs and cellular ATPases; flavin adenine dinucleotide or FAD analogs; nicotinamide or nicotinamide analogs; folates or folate analogs and dihydrofolate reductase, thymidylate synthase  
25 or other abundant proteins which require folate as a cofactor; cyclosporin, FK506, rapamycin, coumermycin or their synthetic analogs and FK506 Binding Protein.

41. The method of claim 38, wherein the protein constituent of the trimolecular complex is a cellular protein that is present in the cellular compartment(s) in which  
30 the target RNA is present.

42. The method of claim 38, wherein the protein constituent of the trimolecular complex is a protein with known RNA binding activity.

43. The method of claim 38, wherein the protein constituent of the trimolecular complex is an RNase.
- 5 44. The method of claim 43, wherein the protein constituent of the trimolecular complex is an RNase from the class RNaseH type 1, RNaseH type 2, and RNaseL.
45. The method of claim 38, wherein the protein constituent of the trimolecular complex is an RNA helicase.
- 10 46. The method of claim 38, wherein the protein constituent of the trimolecular complex is an DNA binding protein.
47. The method of claim 38, wherein the protein constituent of the trimolecular complex has a two or more basic residues within 10 Angstroms of the small molecule binding site thereby providing favorable charge interactions with said target RNA.
- 15 48. The method of claim 38, wherein the targeted cellular RNA is a pre-mRNA.
- 20 49. The method of claim 38, wherein the targeted cellular RNA is an mRNA.
50. A pharmaceutical composition comprising
- 25 a synthetic small molecule inhibitor comprising a first moiety which binds to a selected cellular protein; a second moiety which binds to a target cellular RNA, and a linker connecting said first moiety and said second moiety, wherein said small molecule inhibitor can bind to said selected cellular protein and to said target RNA to form a complex thereby inhibiting an activity of said target RNA; and
- 30 a pharmaceutically acceptable carrier, excipient, or diluent.
51. The composition of claim 50, wherein said selected protein is a human protein.

52. The composition of claim 50, wherein said target RNA is a human RNA.
53. The composition of claim 50, wherein said small molecule inhibitor inhibits  
5 expression of said target RNA.
54. The composition of claim 50, wherein said first moiety is a natural ligand of  
said selected protein or an analog thereof.
- 10 55. The composition of claim 50, wherein said small molecule inhibitor has  
differential activity on variant forms of said RNA differing in secondary structure,  
wherein said difference in secondary structure is related to at least one sequence  
variance.
- 15 56. The composition of claim 50, wherein the protein constituent of the complex  
is a cellular protein that is present in the cellular compartment(s) in which the target  
RNA is present.
57. The composition of claim 50, wherein the protein constituent of the complex  
20 is a protein with known RNA binding activity.
58. The composition of claim 50, wherein the protein constituent of the complex  
is an RNase.
- 25 59. The composition of claim 50, wherein the protein constituent of the complex  
is an RNase from the class RNaseH type 1, RNaseH type 2, and RNaseL.
60. The composition of claim 50, wherein the protein constituent of the complex  
is an RNA helicase.
- 30 61. The composition of claim 50, wherein the protein constituent of the complex  
is an DNA binding protein.



62. The composition of claim 50, wherein the protein constituent of the complex has a two or more basic residues within 10 Angstroms of the small molecule binding site thereby providing favorable charge interactions with said target RNA.
- 5 63. The composition of claim 50, wherein said small molecules do not exceed 4000 Daltons.
64. The composition of claim 50, wherein the targeted cellular RNA is a pre-mRNA.
- 10 65. The composition of claim 50, wherein the targeted cellular RNA is an mRNA.
66. The composition of claim 50, wherein said targeted cellular RNA has a  
15 polymorphism that results in an altered secondary structure and the altered secondary structure is the target of the RNA binding moiety of said small molecule.
67. The composition of claim 50, wherein said targeted cellular RNA is an RNA bearing a mutation that alters secondary structure of said RNA, or that exists on the  
20 same allele as another site of variation that alters the secondary structure of said RNA.
68. A method for inhibiting expression of an mRNA, comprising  
25 a) contacting cells normally expressing said mRNA with a small molecule inhibitor, said inhibitor comprising  
a first moiety which binds to a selected cellular protein or a portion thereof; a second moiety which binds to a target cellular mRNA, and a linker connecting said first moiety and said second moiety, wherein said small molecule inhibitor can bind  
30 to said selected cellular protein and to said target cellular mRNA to form a complex thereby inhibiting an activity of said target RNA.
69. The method of claim 68, wherein said selected protein is a human protein.

70. The method of claim 68, wherein said target RNA is a human RNA.
71. The method of claim 68, wherein said small molecule inhibitor inhibits  
5 expression of said target RNA.
72. The method of claim 68, wherein said first moiety is a natural ligand of said selected protein or an analog thereof.
- 10 73. The method of claim 68, wherein said small molecule inhibitor has differential activity on variant forms of said RNA differing in secondary structure, wherein said difference in secondary structure is related to at least one sequence variance.
- 15 74. The method of claim 68, wherein the protein constituent of the complex is a cellular protein that is present in the cellular compartment(s) in which the target RNA is present.
75. The method of claim 68, wherein the protein constituent of the complex is a  
20 protein with known RNA binding activity.
76. The method of claim 68, wherein the protein constituent of the complex is an RNase.
- 25 77. The method of claim 68, wherein the protein constituent of the complex is an RNase from the class RNaseH type 1, RNaseH type 2, and RnaseL.
78. The method of claim 68, wherein the protein constituent of the complex is an  
30 RNA helicase.
79. The method of claim 68, wherein the protein constituent of the complex is an DNA binding protein.

80. The method of claim 68, wherein the protein constituent of the complex has a two or more basic residues within 10 Angstroms of the small molecule binding site thereby providing favorable charge interactions with said target RNA.
- 5 81. The method of claim 68, wherein said small molecules do not exceed 4000 Daltons.
82. The method of claim 68, wherein the targeted cellular RNA is a pre-mRNA.
- 10 83. The method of claim 68, wherein the targeted cellular RNA is an mRNA.
84. The method of claim 68, wherein said targeted cellular RNA has a polymorphism that results in an altered secondary structure and the altered secondary structure is the target of the RNA binding moiety of said small molecule.
- 15 85. The method of claim 68, wherein said targeted cellular RNA is an RNA bearing a mutation that alters secondary structure of said RNA, or that exists on the same allele as another site of variation that alters the secondary structure of said RNA.
- 20 86. A method for treating an autosomal dominant or gene dosage disease, comprising  
administering to a patient suffering from a said disease a therapeutically  
25 effective amount of an allele specific RNA inhibitor preferentially active on an allelic form of a target RNA in which at least one sequence variance provides a difference in secondary structure and wherein the allele specificity involves said difference in secondary structure.
- 30 87. The method of claim 86, wherein said disease is an autosomal dominant disease, and said patient is heterozygous for the gene corresponding to said target RNA.

88. The method of claim 86, wherein said disease is a gene dosage disease, and said administering is adjusted to reduce but not eliminate expression of said gene.

89. The method of claim 88, wherein said patient is heterozygous for said gene,  
5 and said inhibitor preferentially reduces or eliminates expression of only one allele.

90. The method of claim 86, wherein said inhibitor is a small molecule comprising

a first moiety which binds to a selected cellular protein or a portion thereof; a  
10 second moiety which binds to a target cellular RNA or a fragment thereof, and a linker connecting said first moiety and said second moiety, wherein said small molecule inhibitor can bind to a selected cellular protein and to said target RNA to form a complex, thereby inhibiting an activity of said target RNA.

15

91. A method of treating a patient suffering from a disease or condition, comprising

administering to said patient an allele specific inhibitor of a target RNA wherein the allele specificity involves a difference in secondary structure related to  
20 at least one sequence variance.

92. A method for producing a pharmaceutical agent, comprising

identifying a small molecule inhibitor of a cellular RNA, wherein said small  
25 molecule inhibitor comprises a first moiety and a second moiety and a linker joining said first moiety and said second moiety and said inhibitor will form a complex with a selected cellular protein which binds with said first moiety and said cellular RNA, said method comprising:

a) identifying a said first moiety which binds to a selected cellular protein or  
30 a portion thereof; and

b) identifying a said second moiety which binds to a target cellular RNA or a fragment thereof;

c) synthesizing said small molecule inhibitor in an amount sufficient to provide a therapeutic response in a patient.

93. The method of claim 92, further comprising selecting a linker which allows  
5 advantageous complex formation.

93. The method of claim 92, wherein said inhibitor is preferentially active on an allelic form of an mRNA in which at least one sequence variance provides a difference in RNA secondary structure.  
10

94. A synthetic complex-forming molecule comprising  
a first moiety which binds to a selected cellular protein; a second moiety which binds to a target cellular RNA, and a linker connecting said first moiety and  
15 said second moiety, wherein said small molecule inhibitor can bind to said selected cellular protein and to said target cellular RNA to form a complex.

95. The molecule of claim 94, wherein said molecule will inhibit expression of a target mRNA under translation conditions.  
20

96. The molecule of claim 94, wherein said selected cellular protein is an RNA binding protein or a derivative thereof.

Fig. 1

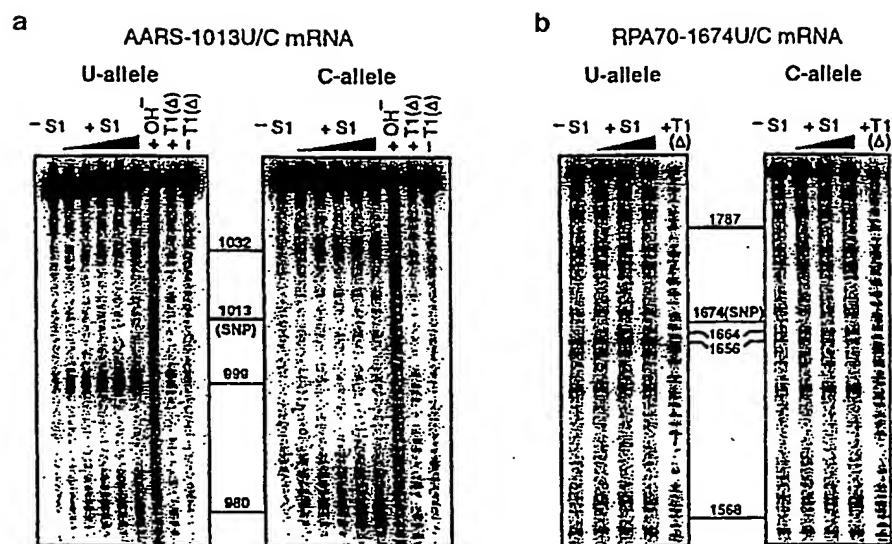
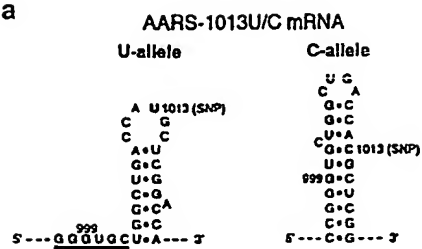


Fig. 2

a



b

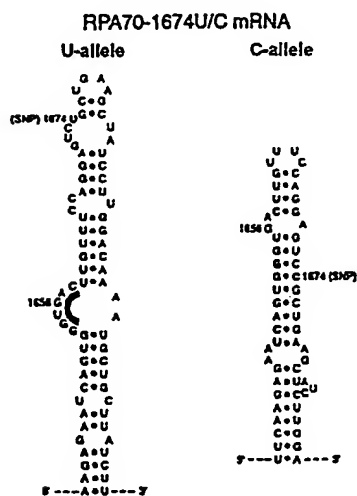
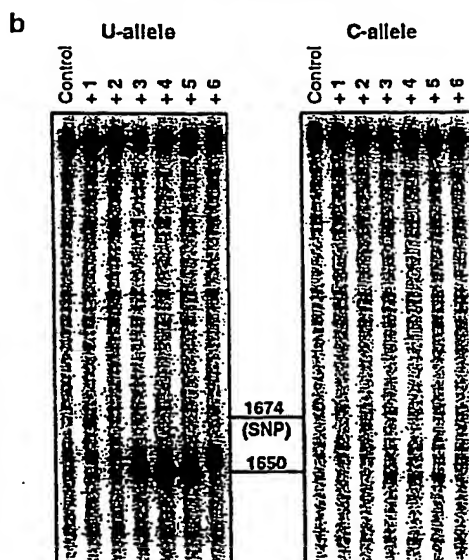


Fig. 3

- a
- 1 5' (1684)TAGCTTCAGCAGACTCCTGG(1665) 3'
  - 2 5' (1682)GCTTCAGCGGACTCCTGG(1665) 3'
  - 3 5' (1661)CAAGTCACCCACTGATTCTC(1642) 3'
  - 4 5' GAATCAAGTCACCCACTGATTCTC 3'
  - 5 5' GAGAATCAAGTCACCCACTGATTCTC 3'
  - 6 5' (1661)CAAGTCACCCACTGA(1647) 3'



c

% Cleavage	+1	+2	+3	+4	+5	+6
U-allele	2.1	0.2	53.6	41.0	34.5	28.4
C-allele	0.1	2.0	4.8	3.7	3.0	1.6



Fig. 4

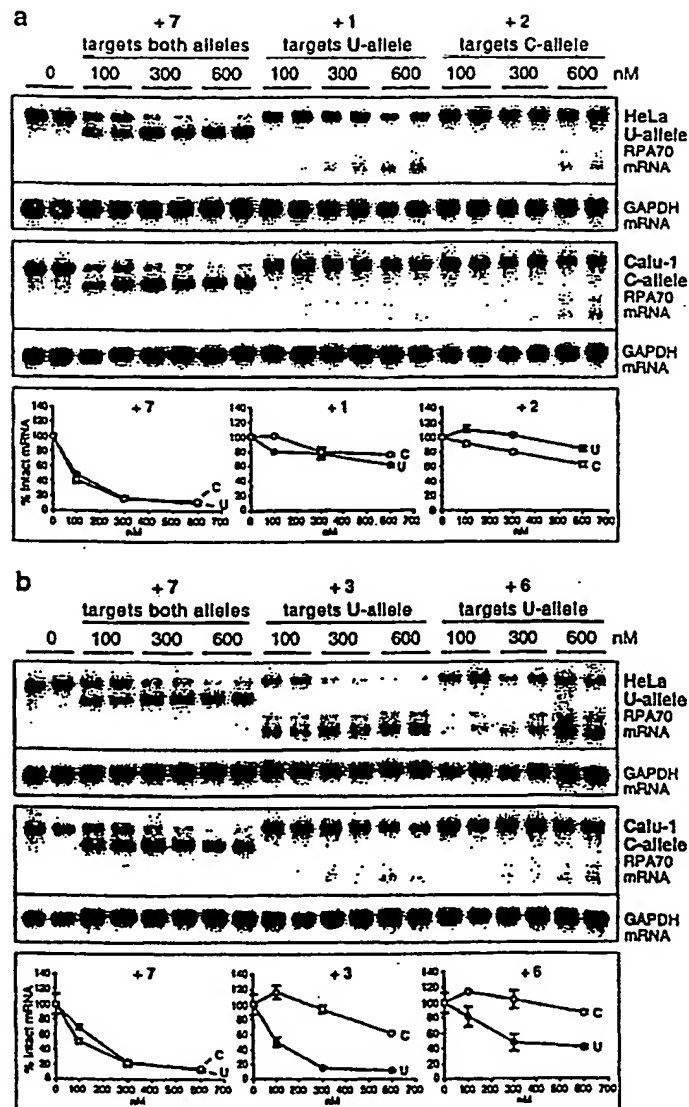
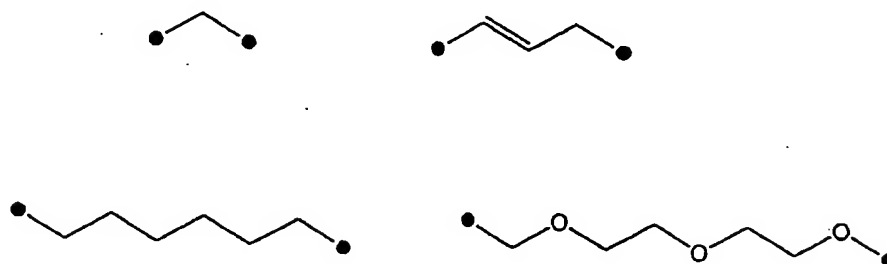


Fig. 5A

(1) Hydrocarbon chains of various lengths and chemical composition. For example:



(2) Linkers built from planar aromatic hydrocarbon ring systems. Points of attachment (illustrated by •) may be at any two different carbon atoms. For example:

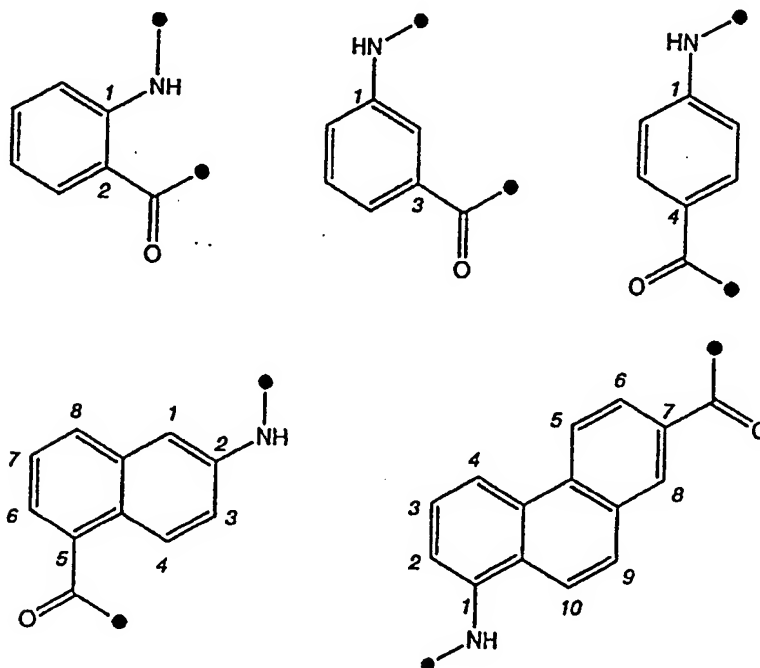
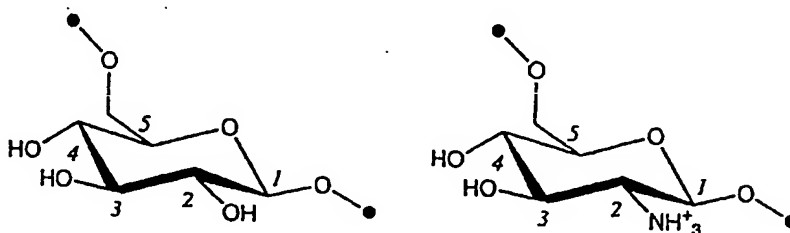
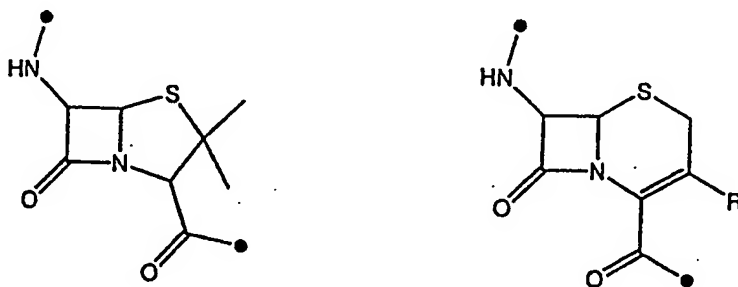


Fig. 5B

(3) Linkers built from carbohydrates (saccharides). Points of attachment (illustrated by •) may be anywhere on each sugar ring. For example:



(4) Linkers using  $\beta$ -lactam containing ring systems such as cephalans as building blocks. For example:



(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



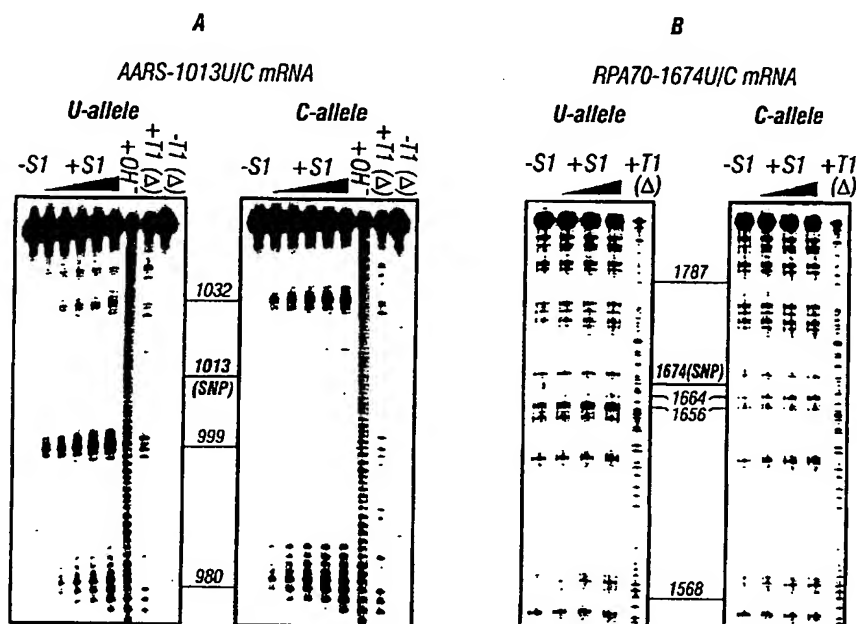
(43) International Publication Date  
8 September 2000 (08.09.2000)

PCT

(10) International Publication Number  
**WO 00/52210 A3**

- (51) International Patent Classification<sup>7</sup>: **C12Q 1/68**,  
A61K 31/00, A61P 43/00
- (21) International Application Number: **PCT/US00/05271**
- (22) International Filing Date: 29 February 2000 (29.02.2000)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:  
60/122,199 1 March 1999 (01.03.1999) US
- (71) Applicant: **VARIAGENICS, INC.** [US/US]; 60 Hampshire Street, Cambridge, MA 02139 (US).
- (72) Inventors: **STANTON, Vincent, P., Jr.**; 32 Royal Road, Belmont, MA 02178 (US). **BASILION, James, P.**; 27 Stetson Street #1, Brookline, MA 02446 (US). **SHEN, Ling**; Apartment 8, 2 Royal Crest Drive, Marlboro, MA 01752
- (US). **VERDINE, Gregory**; 7 Bennington Road, Lexington, MA 02421 (US).
- (74) Agents: **WARBURG, Richard, J. et al.**; Suite 1200, 550 West C Street, San Diego, CA 92101 (US).
- (81) Designated States (*national*): AU, CA, JP.
- (84) Designated States (*regional*): European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).
- Published:  
— with international search report
- (88) Date of publication of the international search report:  
11 April 2002
- For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: METHODS FOR TARGETING RNA MOLECULES



(57) Abstract: Described are methods for identifying targets in RNA molecules based on differences in RNA secondary structure related to sequence variances between different allelic forms. Also described are methods for identifying or developing small molecules which can be used to modulate a target RNA by creation of protein-small-molecule-RNA complexes, as well as compositions which include such small molecules and methods of using those small molecules and compositions.

WO 00/52210 A3

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 00/05271

<b>A. CLASSIFICATION OF SUBJECT MATTER</b> IPC 7 C12Q1/68 A61K31/00 A61P43/00		
According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b> Minimum documentation searched (classification system followed by classification symbols) IPC 7 C12Q		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practical, search terms used) EPO-Internal, WPI Data, BIOSIS		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 583 032 A (TORRENCE PAUL ET AL) 10 December 1996 (1996-12-10)  the whole document	1-24, 50-85, 92-96
A	CRABTREE G R ET AL: "Three-part inventions: intracellular signaling and induced proximity" TRENDS IN BIOCHEMICAL SCIENCES, vol. 21, no. 11, 1 November 1996 (1996-11-01), pages 418-422, XP004071017 cited in the application	
A	WO 97 33897 A (CONSIGLIO NAZIONALE RICERCHE ;GARBESI ANNA MARIA (IT); BONAZZI STE) 18 September 1997 (1997-09-18)	
-/--		
<input checked="" type="checkbox"/> Further documents are listed in the continuation of box C.		
<input checked="" type="checkbox"/> Patent family members are listed in annex.		
* Special categories of cited documents : "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date or another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed		
"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "Z" document member of the same patent family		
Date of the actual completion of the international search		Date of mailing of the international search report
26 September 2001		21 12 2001
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040. Tx. 3: 651 eoo nl. Fax: (+31-70) 340-3016		Authorized officer  Teyssier, B

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 00/05271

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	AMARA J F ET AL: "A versatile synthetic dimerizer for the regulation of protein-protein interactions." PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES OF THE UNITED STATES, vol. 94, no. 20, 30 September 1997 (1997-09-30), pages 10618-10623, XP002178325 cited in the application ---	
A	MEI H-Y ET AL: "Inhibitors of protein-RNA complexation that target the RNA: Specific recognition of human immunodeficiency virus type 1 TAR RNA by small organic molecules." BIOCHEMISTRY, vol. 37, no. 40, 6 October 1998 (1998-10-06), pages 14204-14212, XP002178326 cited in the application ---	
A	WERSTUCK G & GREEN M R: "Controlling gene expression in living cells through small molecule-RNA interactions." SCIENCE, vol. 282, no. 5387, 9 October 1998 (1998-10-09), pages 296-298, XP002178327 cited in the application ---	
A	US 5 702 890 A (HOUSMAN DAVID E) 30 December 1997 (1997-12-30) cited in the application ---	
P,A	SHEN L X ET AL: "Single-nucleotide polymorphisms can cause different structural folds of mRNA." PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES OF THE UNITED STATES, vol. 96, no. 14, 6 July 1999 (1999-07-06), pages 7871-7876, XP002178328 -----	

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US 00/05271

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:  
Claims 68-85 were searched only as far as the method is practised in vitro.
2. ☒ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:  
see FURTHER INFORMATION sheet PCT/ISA/210
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☒ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:  
1-24, 50-85, 92-96

Remark on Protest

☐ The additional search fees were accompanied by the applicant's protest.

☐ No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

## Continuation of Box I.2

The present set of claims comprises two different claims numbered as claim 93. In this report, reference to claim "93", as a such or in a range such as "92-96" refers to both claims; individual reference to one of those claims would be made as "93.1" and "93.2", respectively.

Present claims 1-24, 50-85 and 92-96 relate to an extremely large number of possible compounds. Neither support within the meaning of Article 6 PCT nor disclosure within the meaning of Article 5 PCT is to be found, however, for any such compound. In the present case, the claims so lack support, and the application so lacks disclosure, that a meaningful search over the whole of the claimed scope is impossible. Consequently, only a generic search for bivalent ligands targeting an RNA and a protein has been carried out.

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.



FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-24, 50-85, 92-96

Complex-forming molecule comprising a first moiety which binds to a cellular protein, a second moiety which binds a RNA and a linker; methods of identifying and producing it; pharmaceutical compositions; methods of using it for inhibiting expression of mRNA.

2. Claims: 25-49, 86-91

Methods for identifying an allele specific RNA target, for identifying an allele specific RNA binding small molecule and for allele specific treatment of diseases.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 00/05271

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
US 5583032	A	10-12-1996	AU 669250 B2	30-05-1996
			AU 5585894 A	09-05-1994
			CA 2147282 A1	28-04-1994
			EP 0666910 A1	16-08-1995
			JP 8502408 T	19-03-1996
			WO 9409129 A2	28-04-1994
			US 5677289 A	14-10-1997
			US 6271369 B1	07-08-2001
-----				
WO 9733897	A	18-09-1997	IT F1960044 A1	11-06-1996
			AT 204289 T	15-09-2001
			AU 2155497 A	01-10-1997
			CA 2248900 A1	18-09-1997
			DE 69706150 D1	20-09-2001
			DK 888372 T3	05-11-2001
			WO 9733897 A1	18-09-1997
			EP 0888372 A1	07-01-1999
			US 6160102 A	12-12-2000
-----				
US 5702890	A	30-12-1997	US 6054273 A	25-04-2000
			AU 690131 B2	23-04-1998
			AU 7405994 A	20-02-1995
			CA 2168096 A1	02-02-1995
			EP 0714410 A1	05-06-1996
			JP 9500650 T	21-01-1997
			WO 9503335 A1	02-02-1995
-----				

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☐ FADED TEXT OR DRAWING
- ☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☒ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☒ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**